

UAE Exchange & Finance Ltd.

Second Floor, Habeeb Tower, M.G.Road, Cochin, 682011

CLIENT REGISTRATION FORM

- New Trading /Demat Account
 - New Demat A/c With Trading A/c Updation
 - New Demat A/c With POA Activation



1171

Client Code									Terminal Internet
Beneficiary ID	1	2	0	5	1	8	0	0	
Client Name	5	UNI	11 1	(UM.	OAR	1-1E	GD(6	
Branch			CHI						Date:
Employee Name			RLY		B				Employee Code

CHECKL	IST FOR DOCUME	NTS	
	Sole/First Holder/ Trading Account Holder	Second .Holder in Demat Account	Third Holder in Demat Account
Self-Attested PAN Copy			
Self-Attested proof of Address		W	
Self-Attested bank proof			
Client Master List - In case of other DP			
One recent passport size photograph	×		

C	HECKL	IST FOR SIG	NATURES			
Document	Page No.	Trading Account and NSDL Demat Account	Trading Account and CDSL Demat Account	Trading Account Only	NSDL Demat Account Only	CDSL Demat Account Only
Signature in KYC Application form	5-6	✓	✓	✓	~	~
Signature in KYC Application form - 2nd Holder	9-10	~	~	×	✓	~
Signature in KYC Application form - 3rd Holder	11-12	~	~	×	~	~
Signature Across Photograph (s) for Non Individuals	13	~	~	~	~	*
Signature in KYC Application form for Non Individuals	13-16	~	~	~	~	~
Signature in Trading and Demat Account Related Details	18-24	~	~	~	~	~
Schedule of Charges for Trading Account	25	~	~	~	×	×
Schedule of Charges for Depository Services	26	*	~	×	~	~
Power of Attorney for Broking and other products and services-If opted	27-29	~	~	×	×	×
Running Account-If opted / NRI Undertaking for NRE PIS Account	30	~	~	~	×	×
Undertaking for NRO NON-PIS Account	31	~	~	~	×	×
Declaration NON-Repatriation Basis of NRO Shares	32-33	~	~	~	×	×
Client Authorization to third party - If opted	34	~	~	~	×	×
Undertaking from clients on the F&O Segment-If opted	35	~	~	×	×	×
Regular Service Demat Account- Undertaking-If opted	36	~	~	x	~	~
Addendum for opening e-Insurance Account-If opted	37-38	~	~	×	~	~

Please affix full Signature wherever mark is found and is indicated "Full Signature required"

Annexure - 1 ACCOUNT OPENING KIT INDEX

S.No.	Name of the Document	Brief Significance of the Document	Page No
MAI	NDATORY DOCUMENTS AS PRESCR	RIBED BY SEBI & EXCHANGES	
		A. (a) CKYC Instruction and Check list for individual	03-04
		(b) CKYC form- Document captures the basic information about the constituent - First Holder	05-08
		(c) CKYC form- Document captures the basic information about the constituent - Second Holder	09-10
		(d) CKYC form- Document captures the basic information about the constituent - Third Holder	11-12
1	Account Opening Form	(e) KRA KYC form- Document captures the basic information about the constituent -Non Individual	13
	Transaction of the state of the	(f) KRA KYC form Instruction and Check list for Non Individual	14
		(g) Details of Promoters/Partners/Karta/Trustees and Whole time directors and Ultimate Beneficial Owner (natureal person) Forming A part of KYC for Non Individual	15-16
		B. (a) Additional information about the constituent relevant to trading & Demat Account	17-23
		(b) Instruction and Check list	24
2	Tariff Sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s)	25
3	Rights and Obligations of Stock Brokers, Sub-brokers and DP and Trading Clients	Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/ wirelesstechnology based trading).	42-49
4	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	50-53
5	Guidance Note of the investors.	Document detailing do's and don'ts for trading on exchange, for the education	54-55
6	Policies and Procedures	Document describing significant policies and procedures of the stock broker	57-61
VOL	UNTARY DOCUMENTS AS PROVIDI		
7	Schedule of charges for Depository Services	Charges for availing Depository Services	26
8	Power of Attorney for Broking and Other Products & Services Stamp Rs.100/-)	Power of Attorney in favour of UAE Exchange to operate client's demat account/mutual fund/l PO	27-29
9	Running Account	Authorization by client to retain funds/securities in trading account to meet settlement obligations	30
10	NRI Undertaking for NRE PIS Account	To create awareness about RBI rules and regulations	30
11	Undertaking for NRO Non-PIS Account	Investing under Non-Repatriation Basis	31
12	Declaration of Non -repatriation Basis NRO Shares	Declaration of shares under Non-Repatriation Basis	32-33
13	Client Authorisation to Third Party	Client authorisation to a third party to operate trading account	34
14	Undertaking from clients on the F&O segment	For availing exposure against demat holdings on the F&O segment	35
15	Regular Service Demat Account-Undertaking	Undertaking for availing Regular Service Demat Account	36
16	Addendum for opening e-Insurance Account	Addendum for opening e-Insurance Account	37-38
17	Disclosure	Disclosure by UAE Exchange	39
18	NACH	Authorization to debit funds from client designated bank A/C to meeting funds for systematic SIPs	41
19	Do's and Don'ts - CDSL	Do's and Don'ts for managing your Demat account	56
20	Additional rights and obligations for broking and other products and services	To avail value added products and services from UAE Exchange (including NACH/ECS agreement)	62-81

UAE Exchange & Finance Ltd.	Corporate Identity Number	r: U85110KA1993PLC013994	GST Number: 32AAACU2462R122
Registration No. & Date			Compliance Officer Name/phone/e-mail id
Stock Broker SEBI Reg. No.: NSE - IN	NB230655838/INE230655838 MSEI	- INE260655838 Date: 1-11-1994, 18-09-2008	Mr. SREENISH T S.
NSE Member Code: 06558	BSE Member Code: 6674	MSEI Member Code: 64900	Tel No. 0484 237 0496/97 compliance@uaeexchange.co.in
Depository	CDSL-IN-DP-CDSL- 416-200712	2051800	Mr. SAJEESH XAVIER, Tel No. 0484 237 0496/97 compliance@uaeexchange.co.in
AMFI reg. no.	ARN 33964, Date: 19-12-2005		
Port Folio Manager: Reg.No:	and the second second second		P1, - 1, - 1, - 1, - 1, - 1, - 1, - 1, -
Reg. Office: 63, Artillery Road, Ulsoo	r, Bangalore – 560 008		
Administrative/ Correspondence Offic Fax: 0484 - 2364262 Website: ww	e Address : Second Floor, Habeeb w.uaeexchange-etrade.com	Tower, M G Road, Cochin – 682 011 ,Tel : (0484 2370496/97,
CEO name, phone no & email id:		496/97, Email Id : hq.nse@uaeexchange.	co.in
For any grievance/dispute please cor 2370496/97. In case not satisfied with	ntact UAE Exchange and Finance	Limited at the above address or Email Id: are concerned exchange(s) at the contact d	grievances@uaeexchange.co.in 0484
Exchange	Department	Email ID	Phone No.
National Stock Exchange of India Ltd	Investor Grievance Cell	ignse@nse.co.in	022-26598190
Bombay Stock Exchange Ltd	Investor Services	is@bseindia.com	022-22728138
Metropolitan Stock Exchange of India Ltd	Investor Complaints	investorcomplaints@msei.com	022 61 129000 Ext. 9028

- Intentionally left blank -

CENTRAL KYC REGISTRY | INSTRUCTIONS / CHECK LIST / GUIDELINES FOR FILLING INDIVIDUAL KYC APPLICATION FORM

Important Instructions:

- A) Fields marked with "are mandatory fields.
- B) Please fill the form in English and in BLOCK letters.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please read section wise detailed guidelines / instructions at the end.
- E) List of State/ U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- F) List of two character ISO 3166 country codes is available at the end.
- G) KYC number of applicant is mandatory for update application.
- H) For particular section update, please tick (✔) in the box available before the section number and strike off the sections not required to be updated.

General Instructions:

- 1 Fields marked with '*' are mandatory fields.
- 2 Tick (✓) wherever applicable.
- 3 Self-Certification of documents is mandatory.
- 4 Please fill the form in English and in BLOCK Letters.
- 5 Please fill all dates in DD-MM-YYYY format.
- Wherever state code and country code is to be furnished, the same should be the two-digit code as per Indian Motor Vehicle, 1988 and ISO 3166 country code respectively list of which is available at the end.
- 7 KYC number of applicant is mandatory for updation of KYC details.
- 8 For particular section update, please tick (\checkmark) in the box available before the section number and strike off the sections not required to be updated.

Other Instructions:

If any proof of identity or address is in a foreign language, then translation into English is required.

A Clarification / Guidelines on filling 'Personal Details' section

- Name: Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2 Either father's name or spouse's name is to be mandatorily furnished.

B Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India

Tax identification Number (TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/insurance number, citizen/personal identification/services code/number, and resident registration number)

C Clarification / Guidelines on filling 'Proof of Identity [Pol]' section

- 1 If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
- 2 Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.

D Clarification / Guidelines on filling 'Proof of Address [PoA] - Current / Permanent / Overseas Address details' section

- PoA to be submitted only if the submitted Pol does not have an address or address as per Pol is invalid or not in force.
- 2 State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.

E Clarification / Guidelines on filling 'Proof of Address [PoA] - Correspondence / Local Address details' section

- To be filled only in case the PoA is not the local address or address where the customer is currently residing. No separate PoA is required to be submitted.
- 2 In case of multiple correspondence/local addresses, Please fill 'Annexure A1'

F Clarification / Guidelines on filling 'Contact details' section

- 1 Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number men tion 91-99999999).
- 2 Do not add '0' in the beginning of Mobile number.

G Clarification / Guidelines on filling 'Related Person details' section

1 Provide KYC number of related person if available.

H Clarification / Guidelines on filling 'Related Person details - Proof of Identity [Pol] of Related Person' section

1 Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.

List of two – digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State / U.T	Code	State / U.T	Code	
Andaman & Nicobar	AN	Himachal Pradesh	HP	Po
Andhra Pradesh	AP	Jammu & Kashmir	JK	Pu
Arunachal Pradesh	AR	Jharkhand	JH	Ra
Assam	AS	Karnataka	KA	Sik
Bihar	BR	Kerala	KL	Ta
Chandigarh	CH	Lakshadweep	LD	Te
Chattisgarh	CG	Madhya Pradesh	MP	Tri
Dadra and Nagar Haveli	DN	Maharashtra	МН	Ut
Daman & Diu	DD	Manipur	MN	Ut
Delhi	DL	Meghalaya	ML	We
Goa	GA	Mizoram	MZ	Ot
Gujarat	GJ	Nagaland	NL	
Haryana	HR	Orissa	OR	
				ec.

State / U.T	Code
Pondicherry	PY
Punjab	PB
Rajasthan	RJ
Sikkim	SK
Tamil Nadu	TN
Telangana	TS
Tripura	TR
Uttar Pradesh	UP
Uttarakhand	UA
West Bengal	WB
Other	XX

Code	Country	Country	Country	Country	Country	Country	Country	Coun
A	·	Code			Ha I SEND IC as bas deal		meletillassi s	Cod
Albanis			•					PM
Approx		*****************************		000000000000000000000000000000000000000				VC
Amount of Amount of Montral Gold Microsome (Fig. Microsome) AMO Sections of Principles (Fig. Microsome) AMOUNT OF Principles (Fig. Microsome) <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>WS</td>								WS
Annexis								SM
Angolia	Andorra		· · · · · ·		Macedonia, the former Yugoslav Republic	************************		ST SA
Antimitation AC Falsace Halmands (Information and Deathours of Marketines AC Falsace Halmands Fo	Angola				Madagascar			SN
And principles AS For Islands FO Malidives MV Serva cone Myrements AR Fig. 1 Fi. Mail Med. Sergapore Myrements AR Firench Myrements AR Firench Sergapore Myrements AR Firench Serg								RS
Argentina AR							•	SC SL
Americal AM Friend File Martin Martin Million AM France File Marchall Slands Million Mil								SG
Analysis	Armenia							SX
Nutralia	Aruba	AW	France					SK
Azerbaljan	Australia	AU	French Guiana					SI
Saharman Bi Galbon GA Mayotte TT South Affica Saharman Bi Galbon Marker Company of the South Sandwich Islands Sangladesh Bi Gereiga GE Micronesia, Federated States of FM South Submit Islands Islands Sangladesh Bi Germany DE Modova, Republic of MD Spain South Submit Islands Sangladesh Bi Germany DE Modova, Republic of MD Spain South Submit Islands Sangladesh Bi Germany DE Modova, Republic of MD Spain South Submit Islands Sangladesh Bi Germany DE Modova, Republic of MD Spain South Submit Islands Sangladesh Bi Germany DE Modova, Republic of MD Spain South Submit Islands Sangladesh Bi Germany DE Modova, Republic of MD Spain Sangladesh Bi Germany DE Modova, Republic of MD Spain Sangladesh Bi Galbon Sangladesh Bi Germany DE Modova, Republic of MD Spain Sangladesh Bi Galbon Sanglade	Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Sahralan	Azerbaijan				Mauritius	MU	Somalia	50
Sampledesh BD Georgia GE Micronesia, Federated States of FM South Sudam							South Africa	ZA
Serbados 8 Germany DE Moldova, Republic of Mone Sealan Selecture 8 Seana Selecture 8 Seana Selecture 8 Seana Selecture Seana Seana Selecture Seana							Islands	GS
Bellerus 87 Ghana								SS
Belgium BE Gibraltar GI Mongolia Mix Sudan								ES
Belize								LK
Bernin								SD SR
Semuda S					· · · · · · · · · · · · · · · · · · ·			SJ
Standard State of								52
Solvika Pullivinational State of 80 Guam	Bhutan	BT	Guadeloupe					SE
	Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Sotionaria BW Guinea GN Nepal NP Tajištán Sotionaria	Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Source Island BV Guinea-Bissau GW Netherlands NL Tanzania, United Republic of Brazil BR Guyana GY New Caledonia NC Thailand Strish Indian Ocean Territory 10 Haifi HT New Zealand NZ Timor-Leste String Indian Ocean Territory 10 Haifi HT New Zealand NZ Timor-Leste String Indian Ocean Territory 10 Haifi HT New Zealand NZ Timor-Leste String Indian Ocean Territory 10 Haifi HT New Zealand NZ Timor-Leste String Indian Ocean Territory NZ Timor-Leste NZ Timor			•		Nauru	NR	Taiwan, Province of China	TV
Strail BR Guyana GY New Zealand NZ Trimor-Leste Strained Incorporate Str								ŢJ
Part								TZ
Bance Darvissalam								TH
Sulgaria BG								TL
Burkina Bi								TG TK
Strundi				*************************				TC
Cabo Verde CV Hungary HU Norfolk Island NF Tunkia Cambodia KH Iceland IS Northern Mariana Islands MP Turkey Cambodia KH Iceland IS Northern Mariana Islands MP Turkey Cambodia IN Norway NO Turkmenistan Towns of India IN Norway NO Turks and Calcos Islands IN Norway NO Turks Arab Emirates IN Norway	Burundi							π
Aambodia KH Iceland IS Northern Mariana Islands MP Turkey Cameroon CM India IN Norway NO Turkmenistan I Cameroon CM India IIN Norway NO Turkmenistan I Cameroon CM Turks and Calcos Islands I Cameroon CM Turks I Cameroon CM I	Cabo Verde	CV						TN
Canada CA Indonesia ID Oman OM Turks and Caicos Islands Layman Islands KY Iran, Islamic Republic of IR Pakistan PK Tuvalu Central African Republic CF Iraq ID Oman OM Turks and Caicos Islands KY Iran, Islamic Republic of IR Pakistan FW Uganda Chad TD Ireland IE Palestine, State of PS Ukraine Linie CL Isle of Man IM Panama CN Israel IL Papua New Guinea PA United Kingdom CN Israel IL Papua New Guinea PG United Kingdom CN Israel II Papua New Guinea PG United Kingdom CN Israel II Papua New Guinea PG United States Cocos (Keeling) Islands CC Jamaica JM Peru PE United States Minor Outlying Islands Loionbia CO Japan PP Philippines PH Uruguay II Paraguay PY United States Minor Outlying Islands Loionbia CO Japan PP Philippines PH Uruguay II Vanuatu Congo, the Democratic Republic of CG Jordan JD Poland PL Vanuatu Congo, the Democratic Republic of CD Kazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of Nethodo Costa Rica CK Kenya KE Puerto Rico PR Viet Nam Costa Rica CK Kenya KE Puerto Rico PR Viet Nam Costa Rica CK Kiribati KI Qatar QA Virgin Islands, British Cote d'Ivoire CU Kwalt KR Romania CU Kwalt KR Romania RO Wallis and Futuna Venen Venen Venen AW Yemen Curacao ICuraçao CW Kyrgyzstan KG Rwanda RW Yemen Curha Cunha	Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cayman Islands KY Iran, Islamic Republic of IR Pakistan PK Tuvalu Central African Republic CF Iraq IQ Palau PW Uganda IE Chad TD Ireland IE Palestine, State of PS Ukraine Ichad CI Isle of Man IM Panama PA United Arab Emirates Chile CL Isle of Man IM Panama PA United Arab Emirates Chile CL Isle of Man IM Panama PA United Arab Emirates Chinad CN Israel IL Papua New Guinea PG United Kingdom Consists as Island CN Israel IL Papua New Guinea PG United Kingdom Consists as Island CN Israel IL Papua New Guinea PG United States Cocos (Keeling) Islands CC Jamaica JM Peru PE United States Minor Outlying Islands CC Jamaica JM Peru PE United States Minor Outlying Islands Comoros KM Jersey JE Pitcairn PN Uzbekistan Compo CG Jordan JP Philippines PH Uruguay Congo, the Democratic Republic of CD Kazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of Ne Congo, the Democratic Republic of CD Kazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of Ne Cocta Rica CR Kiribati KI Qatar QA Virgin Islands, British VE Cote of Voire ICote of Voir	Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TN
Central African Republic CF Iraq IQ Palau PW Uganda IC Chad TD Ireland IE Palestine, State of PS Ukraine IC Chile CL Isle of Man IM Panama PA United Arab Emirates China CN Israel IL Papua New Guinea PG United Kingdom C Christmas Island CX Italy IT Paraguay PY United States Minor Outlying Islands IV Cocos (Keeling) Islands CC Jamaica JM Peru PE United States Minor Outlying Islands IV Colombia CO Japan JP Philippines PH Uruguay Compros KM Jersey JE Pitcairn PN Uzbekistan IX Congo CG Jordan JO Poland PL Vanuatu IV Congo, the Democratic Republic of CD Kazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of IV Cocta Rica CR Kiribati KI Qatar QA Virgin Islands, British IV Cocta Rica CR Kiribati KI Qatar QA Virgin Islands, British IV Cocta Cote d'Ivoire Côte d'Ivoire COC Kayas Republic of KR Romania RO Wallis and Futuna V Cuba CU Kwwait KW Russian Federation RU Western Sahara Curacao ICuraçao CW Kyrgyzstan KG Rwanda RW Yemen IV Cucha Cunha Cun	Canada	***********	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Chad TD Ireland IE Palestine, State of PS Ukraine III Chile CL Isle of Man IM Panama PA United Arab Emirates China CN Israel IL Papua New Guinea PG United Kingdom Christmas Island CX Italy IT Paraguay PY United States II Cocos (Reeling) Islands CC Jamaica JM Peru PE United States Minor Outlying Islands CC Japan JP Philippines PH Uruguay III Comoros KM Jersey JE Pitcairm PN Uzbekistan Uzbekistan III Congo CG Jordan JO Poland PL Vanuatu Congo CG Jordan JO Poland PL Vanuatu Congo CG Jordan JO Poland PL Vanuatu Cocosta Rica CK Kenya KE Puerto Rico PR Viet Nam Cocta Rica CR Kiribati KI Qatar QA Virgin Islands, British Cocta d'Ivoire ICôte d'Ivoire CT Korea, Democratic People's Republic of FR Reunion IRéunion RE Virgin Islands, U.S. Croatia HR Korea, Republic of KR Romania RO Wallis and Futuna Virgin Islands, U.S. Croatia HR Korea, Republic of KR Romania RO Wallis and Futuna Virgin Islands, U.S. Croatia CU Kuwait KW Russian Federation RU Western Sahara Europeus Cocha Cunha Curacao CW Kyrgystan KG Rwanda RW Vemen Cunha Curacao CW Kyrgystan KG Rwanda RW Vemen Cunha Cucha Cunha Connark DK Lebanon LB Saint Kits and Nevis KN Colemark DK Lebanon LB Saint Lucia LC	Cayman Islands							TV
Chile CL Isle of Man IM Panama PA United Arab Emirates In China CN Israel IL Papua New Guinea PG United Kingdom Christmas Island CX Italy IT Paraguay PY United States Cocos (Keeling) Islands CC Jamaica JM Peru PE United States Minor Outlying Islands Colombia CD Japan JP Philippines PH Uruguay Compros KM Jersey JE Pitcairn PN Uzbekistan Cocogo, the Democratic Republic of CG Jordan JO Poland PL Vanuatu Scongo, the Democratic Republic of CD Kazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of the Cock Islands CK Kenya KE Puerto Rico PR Viet Nam Cock Islands Cata Crock Civoire Cote d'Ivoire Cote Cote Cote Cote Cote Cote Cote Cot			•					UG
China CN Israel IL Papua New Guinea PG United Kingdom Christmas Island CX Italy IT Paraguay PY United States Cocos (Keeling) Islands CC Jamaica JM Peru PE United States Minor Outlying Islands LC Colombia CO Japan JP Philippines PH Uruguay IT Ormoros KM Jersey JE Pitcairn PN Uzbekistan IC Congo CG Jordan JO Poland PL Vanuatu PC Vanuatu PT Venezuela, Bolivarian Republic of CD Kazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of CO Kazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of Cock Islands CK Kenya KE Puerto Rico PR Viet Nam Cock Islands CK Kiribati KI Qatar QA Virgin Islands, British Cock d'Ivoire CO Korea, Democratic People's Republic KP Reunion IRéunion RE Virgin Islands, U.S. Troatia HR Korea, Republic of KR Romania RO Wallis and Futuna Venezuela (Luracao (Luraçao CW Kyrgystan KG Rwanda RW Yemen Cyprus Cyclusqua CY Lao People's Democratic Republic LA Saint Barthelemy Island Barthelemy Cochana CC Latvia La People's Democratic Republic LA Saint Helena, Ascension and Tristan da SH Zimbabwe Connard Counha Dennark DK Lebanon LB Saint Kitts and Nevis KN Dijbouti DJ Lesotho LS Saint Lucia LC Saint Kitts and Nevis KN				****************************				UA
Christmas Island CX Italy IT Paraguay PY United States CCC Jamaica JM Peru PE United States Minor Outlying Islands CC Jamaica JM Peru PE United States Minor Outlying Islands CC Japan JP Philippines PH Uruguay IC Compose MM Jersey JE Pitcairn PN Uzbekistan IC Compose CG Jordan JO Poland PL Vanuatu IC Compose CG Jordan JO Poland PL Vanuatu IC Compose CG Jordan JO Poland PL Vanuatu IC Compose CG Jordan MC PC Wenezuela, Bolivarian Republic of IC Mazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of IC Compose CG Ministry MC Marie Marie MC MC Marie MC MC Marie MC MC Marie MC Marie MC MC MC Marie MC MC Marie MC MC MC MC MC MC Marie MC								Al
Cocos (Keeling) Islands CC Jamaica JM Peru PE United States Minor Outlying Islands Colombia CO Japan JP Philippines PH Uruguay Compores KM Jersey JE Pitcairn PN Uzbekistan Congo CG Jordan JO Poland PL Vanuatu Vocongo, the Democratic Republic of CD Kazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of Ne Cook Islands CK Kenya KE Puerto Rico PR Viet Nam Costa Rica CR Kiribati KI Qatar QA Virgin Islands, British Vocote d'Ivoire Cot Korea, Democratic People's Republic Of Croatia HR Korea, Republic of KR Romania RO Wallis and Futuna Volba CU Kuwait KW Russian Federation RU Western Sahara Luciacao CV Kyrgystan KG Rwanda RW Yemen Voyprus CY Lao People's Democratic Republic CZ Latvia LV Saint Bethelemy ISlant Barthélemy BL Zambia CU Cunha Denmark DK Lebanon LB Saint Kitts and Nevis KN Saint Lucia CC Sain		*************************						GE US
Colombia CO Japan JP Philippines PH Uruguay Comoros KM Jersey JE Piccairn PN Uzbekistan Comoros KM Jersey JE Piccairn PN Uzbekistan Congo CG Jordan JO Poland PL Vanuatu PT Venezuela, Bolivarian Republic of CD Kazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of Cook Islands CK Kenya KE Puerto Rico PR Viet Nam Ocok Islands CK Kenya KE Puerto Rico PR Viet Nam Ocok Islands CR Kiribati KI Qatar QA Virgin Islands, British Cote d'Ivoire ICôte d'Ivoire CI Korea, Democratic People's Republic KP Reunion IRéunion RE Virgin Islands, U.S. Troatia HR Korea, Republic of KR Romania RO Wallis and Futuna Veluba CU Kuwait KW Russian Federation RU Western Sahara Euroaca Curação CW Kyrgystan KG Rwanda RW Yemen Curação CW Kyrgystan KG Rwanda RW Yemen Curação CY Lao People's Democratic Republic LA Saint Barthélemy BL Zambia Zech Republic CZ Latvia LV Saint Helena, Ascension and Tristan da SH Zimbabwe Zennark DK Lebanon LB Saint Kits and Nevis KN								UN
Compos KM Jersey JE Pitcairn PN Uzbekistan Uzbekistan Uzbegistan JO Poland PL Vanuatu Nongo CG Jordan JO Poland PL Vanuatu Nongo CG Jordan KZ Portugal PT Venezuela, Bolivarian Republic of Nongo, the Democratic Republic of CD Kazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of Nongo, the Democratic Republic of Nongo CK Kazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of Nongo CK Kazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of Nongo CK Kazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of Nongo CK KE Puerto Rico PR Viet Nam Nongo CK Virgin Islands, British Nongo CK Kiribati KI Qatar QA Virgin Islands, British Nongo CK Virgin Islands, British Nongo CK Virgin Islands, U.S. Nongo CK Virgi						*********************		U
Congo CG Jordan JO Poland PL Vanuatu Congo, the Democratic Republic of CD Kazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of CD Kazakhstan KZ Portugal PT Venezuela, Bolivarian Republic of CD Kazakhstan KE Puerto Rico PR Viet Nam Costa Rica CR Kiribati KI Qatar QA Virgin Islands, British Cote d'Ivoire Ci Korea, Democratic People's Republic KP Reunion IRéunion RE Virgin Islands, British Cote d'Ivoire Ci Korea, Democratic People's Republic KP Reunion IRéunion RE Virgin Islands, U.S. Cotatia HR Korea, Republic of KR Romania RO Wallis and Futuna Valua Curacao CU Kuwait KW Russian Federation RU Western Sahara ECuracao CV Kyrgyzstan KG Rwanda RW Yermen Cyprus CY Lao People's Democratic Republic LA Saint Barthelemy Islant Barthélemy BL Zambia ZCECCH Republic CZ Latvia LV Saint Helena, Ascension and Tristan da SH Zimbabwe ZCUnha Cunha Dennark DK Lebanon LB Saint Kitts and Nevis KN			•					UZ
Cook Islands CK Kenya KE Puerto Rico PR Viet Nam Victors Rico PR Viet Nam Victors Rico Cota Rico CR Kiribati KI Qatar QA Virgin Islands, British Victor d'Ivoire Icôte d'Ivoire Cote Cote Cote Cote Cote Cote Cote Cot	Congo Congo, the Democratic Republic of	CG	Jordan .	JO	Poland	PL	Vanuatu	VI. VE
Cota Rica CR Kiribati KI Qatar QA Virgin Islands, British Vicote d'Ivoire ICôte d'Ivoire ICôte d'Ivoire CI Korea, Democratic People's Republic KP Reunion IRéunion RE Virgin Islands, U.S. Croatia HR Korea, Republic of KR Romania RO Wallis and Futuna Vicuta CU Kuwait KW Russian Federation RU Western Sahara E Curacao ICuraçao CW Kyrgyzstan KG Rwanda RW Yemen Yeprus CY Lao People's Democratic Republic LA Saint Barthelemy ISlaint Barthélemy BL Zambia Z Cucha Croatia HR Korea, Republic CZ Latvia LV Saint Helena, Ascension and Tristan da SH Zimbabwe Z Cunha Denmark DK Lebanon LB Saint Kitts and Nevis KN			(anus					VI
Cote d'Ivoire ICôte d'Ivoire CI Korea, Democratic People's Republic of KP Reunion IRéunion RE Virgin Islands, U.S. Croatia HR Korea, Republic of KR Romania RO Wallis and Futuna V. Cuba CU Kuwait KW Russian Federation RU Western Sahara II. Curaçao CW Kyrgyzstan KG Rwanda RW Yemen								V
Troatia HR Korea, Republic of KR Romania RO Wallis and Futuna V Luba CU Kuwait KW Russian Federation RU Western Sahara E Luracao Curaçao CW Kyrgyzstan KG Rwanda RW Yemen N Lyprus CY Lao People's Democratic Republic LA Saint Barthelemy Saint Barthelemy BL Zambia Z Lech Republic CZ Latvia LV Saint Helena, Ascension and Tristan da SH Zimbabwe Z Cunha Denmark DK Lebanon LB Saint Kitts and Nevis KN			Korea, Democratic People's Republic					VI
Cuba CU Kuwait KW Russian Federation RU Western Sahara I Curacao Curação CW Kyrgystan KG Rwanda RW Yemen Yeprus CY Lao People's Democratic Republic LA Saint Barthelemy Saint Barthélemy BL Zambia Z Czech Republic CZ Latvia LV Saint Helena, Ascension and Tristan da SH Zimbabwe Z Cunha Denmark DK Lebanon LB Saint Kitts and Nevis KN Djibouti DJ Lesotho LS Saint Lucia LC	Croatia	HR		KR	Romania	RO	Wallis and Futuna	W
Curação CW Kyrgyzstan KG Rwanda RW Yemen Cyprus CY Lao People's Democratic Republic LA Saint Barthelemy ISaint Barthélemy BL Zambia Zech Republic CZ Latvia LV Saint Helena, Ascension and Tristan da SH Zimbabwe ZC Cunha Cunha Demnark DK Lebanon LB Saint Kitts and Nevis KN Djibouti DJ Lesotho LS Saint Lucia LC								EH
Zech Republic CZ Latvia LV Saint Helena, Ascension and Tristan da SH Zimbabwe Z Cunha Jenmark DK Lebanon LB Saint Kitts and Nevis KN			Kyrgyzstan					YE
Cunha Denmark DK Lebanon LB Saint Kitts and Nevis KN Djibouti DJ Lesotho LS Saint Lucia LC			Lao People's Democratic Republic			BL	Zambia	ZN
Djibouti DJ Lesotho LS Saint Lucia LC	Czech Republic				Cunha		Zimbabwe	ZV

UAE Exchange & Finance Ltd.

Corporate Identity Number: U85110KA1993PLC013994

Second Floor, Habeeb Tower, M G Road, Kochi - 682 011Tel: 0484 - 2370496, 2370497

CENTRAL KYC REGISTRY
KYC Application Form | Individual

For office	Application Type*
Please read the Instru	ctions before filling the form.
A. PERSONAL DE	TAILS (Please refer instruction A at the end)
Name* (Same as ID p	roof)
Prefix (Mr./Mrs./Ms)	First Name Middle Name Last Name
MIKL SION	KUMAR HEGDE
Prefix (Mr./Mrs./Ms) Maiden Na	me (if any")
Prefix (Mr./Mrs./Ms) Father / Sp	ouse Name*
MR SUN	DOB HEGDE
Prefix (Mr./Mrs./Ms) Mother Na	
MRS SON	JEEVI
Date of Birth*	07-08-1974
Gender*	☐ F- Female ☐ T-Transgender
Marital Status* Citizenship*	✓ Married Unmarried Others ✓ IN- Indian Others (ISO 3166 Country Code)
Residential Status*	☐ Others (ISO 3166 Country Code ☐) ☐ Resident Individual ☐ Non Resident Indian
residential Status	□ Foreign National □ Person of Indian Origin
Occupation Type*	S-Service (Private Sector Public Sector Government Sector Housewife Student) O-Others (Professional Self Employed Retired Housewife Student)
PAN Card	ABSPH8146D
2. TICK IF APPLIC	CABLE RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refe
	REQUIRED* (Mandatory only if section 2 is ticked)
ISO 3166 Country Cod	e of Jurisdiction of Residence*
Tax Identification Numb	er or equivalent (If issued by jurisdiction)*
Place/City of Birth*	ISO 3166 Country Code of Birth*
3. PROOF OF IDE	NTITY (Pol)* (Please refer instruction C at the end)
(Certified copy of any one	of the following Proof of Identity[Pol] needs to be submitted)
A- Passport Number	Passport Expiry Date DD-MM-YYYY
☐ B- Voter ID Card	
C- PAN Card	ABSPHBILLIGO
☐ D- Driving Licence	Driving Licence Expiry Date DD-MM-YYYY
E- UID (Aadhaar)	
F- NREGA Job Car	
	nent notified by the central government) Identification Number
	Identification Number
4. PROOF OF AD	
	MANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)
	of the following Proof of Address [PoA] needs to be submitted)
	Residential / Business Registered Office Unspecifie Passport Driving Licence UID (Aadhaar)
	Voter Identity Card NREGA Job Card Others please specify
Address	Simplified Measures Account - Document Type code
ine 1* HOUS	E NO 28/2543-B
ine 2 GOTE	1. NO 22 CHINBR HOUSE
ine 3 $\Theta L (I \wedge$	KAL ROAD City/Town/Village*
District* ERNG	KULAM Pin/Post Code* 68 202.0 State/U.T Code* KI, ISO 3166 Country Code* TIM

4.2 CORRESPO																																
Same as Curren	t / Pern	nanen	t / C	Overse	eas A	Addre	ss de	etails	(In	case	e of i	multi	iple	corre	spon	den	ice /	loca	al ad	dre	sses	, ple	ase	fill 'A	nne	xur	e A1	')				
Line 1*			4	_	1	+	<u> </u>														-	-	+	_			-	\vdash	_		\dashv	
Line 2																						_						_				
Line 3																-		C	City/	To	wn/	Villa	ge*		<u></u>						Щ	
District*] F	Pin/F	ost	Co	de*							S	tate	/U.	ТС	ode*			IS	SO 3	3166	Co.	untry	/ Co	de*	19
4.3 ADDRESS II	N THE	JURIS	DIC	OTION	DE	TAILS	S WH	IERE	AP	PLIC	CAN	rıs	RES	SIDE	NT O	UTS	SIDE	INE	DIA I	FOF	R TA	X PL	JRP	OSE	S* (/	Appl	icab	le if s	section	on 2	is tick	(ed)
Same as Curren											_				me as												_	_			-	
Line 1*																					_	_	_				_	_	1			
Line 2		-) (9	12	3	-(JŻ	6	G	U	>						L					118) (3.1		THE
Line 3																		C	City	To	wn/	Villa	age	*								
State*			T												ZIF	/Pc	ost C	ode	*							ISO	316	66 Cc	ountr	у Со	de*	
5. CONTACT I		6 / 6 ii										hila		C.m.	a inv	/Dia	vaca i	rofer	inet	ruot	inn E	at th	10 01	nd)								
	JE IAIL	.5 (All	COF	nmuni	Calic	ins wi	7			JVIUE	ZO IVIC	June	7		111111	1	1 1	T	11101	i Gu				· ~ ,	7		a		- 1 =	ıoı		200
Tel.(Off)	*****		+			+	3	el.(Re			-		J					1	+	۲.		bile		+		4	0	4	17	13	20	120
FAX															UN											L	1.1	CIC	אוכ	1		
6. DETAILS O	F REL	ATED	PE	RSO	N (In cas	e of a	dditic	onal i	relate	ad pe	erson	is, pl	ease	fill 'Ar	ne	xure l	B1')	(ple	ase	refe	r inst	ructi	on G	at th	e en	d)	, ,				
Addition of Relate	d Perso	n [De	letion	of R	Related	Pers	son					KY	C Nu	ımber					-			-								Ш	
Related Person Typ	e*	☐ Gı	uard	dian o					L	As	ssign	iee			ħ/li		Aut e Na		zed	Re	pres	enta	ative	•				ast N	Jame	3		
Prefix (Mr./Mrs./Ms.			Γ	TIIS	t Na	me		T							1	T		T	Т			-				W	Ī					
(If KYC numl	per and	name	are	provid	ed, t	pelow	detail	s of s	ectio	on 6	are o	ptior	nal)	1							-			LL.								
PROOF OF IDE	I] YTITV	Pol] OF	RE	ELATE	D PE	ERSO	N* (P	lease	see	instr	ructio	n (H) at t	he e	nd)																	
A- Passport N	umbei	. [Τ		T												Pas	spo	ort E	хрі	iry [ate			0	0 -	N	M		/ Y	Y	
B- Voter ID Ca	ard	Γ	T		Ī	Ī	П					T																				
C- PAN Card		Ī	T		T	Ī	П		T]																						
D- Driving Lice	ence	Ē	T						Ī		T	T	T	1			Driv	ring	Lic	end	ce E	xpir	y D	ate	D	0 -	N	1 88		Y	Y.	
☐ E- UID (Aadha	aar)	Ī	T		T		П	Ť	T																		14				Acq	
F- NREGA Jol	b Card	ı Ē	Ť			T		Ī	Ī																							
Z- Others (any	docum	nent no	otifie	ed by	the	centra	al gov	ernr	nent)						I			lder	ntifi	cati	on N	lum	ber								
S- Simplified I	Measu	res A	ccc	ount	- Do	ocum	ent	Туре	е со	de								I	lder	ntifi	cati	on N	lum	ber								
7. REMARKS	(If any	1																														
	T T		Π				Т	Т	Т	Т	Т	Т	Т	Τ		Π	Т	Т	Т	T	Т	T	Т	Т	Т	Т	T	Т	Т	Т	Τ	
		+	\vdash				+	+	+	+	+	+	+	+	-		+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	
		+	_				+	-	+	+	+	+	+	+	+	\vdash	+	+	+	+	+	+	+	+	+	+	+	+	+	+	+	
			L_	Ш																												
8. APPLICA	NT DE	CLA	RAT	ΓΙΟΝ																												
I hereby declare that to	he details	furnishe	ed ab	ove are	true a	and con	ect to	the be	st of	my ki	nowle	dge a	nd be	lief an	nd I und	derta	ke to i	inform	n you	of an	y cha	nges										
therein, immediately. I for it.	n case ar	ny of the	abov	e inforn	nation	is foun	to be	taise	or unti	rue or	misie	ading	or mis	srepre	senung,	ıan	n awai	e triat	(I IIId	y be	rieia i	able		5	١		1	•				
I hereby consent to re	ceiving in	formatio	n froi	m Centr	al KY	C Regis			_					-	·			ress.		_		-		٣	1	ر سو	Z		-			
Date : 16 -	11	A	2	01	7		Pla	ace :	E	ER	N	13	1	U	LI	J(7	\perp	1					,	Signa	ture /	Thun	nb Imp	oressio	on of	Applica	ant
9. ATTESTAT	ION /	FOR	OF	FICE	US	SE O	NLY																									
									_					16	A 44 4		<u></u>			·				4								
Documents Rece	ived		ert	tified (Cop	ies	l	V O	rıgın	al V	eritie	ea a	na s	eit-/	Attest	ea	Doc	ume	ent C	op												- 34
IPV	AND K	YC VE	RIF	ICATI	ON C	CARR	ED C	OUT E	3Y													NST	ITUT	ION	DET	AILS						
Date	16				2	01	7						_	١	lame	U	19	E	E;	X	1-	19	N	GIE	GA	N	0	FI	N	A	VC	EL
Emp. Name	50	NA	PI	NA						u C				C	ode	1	N	0!	5	7 0	d										\perp	
Emp. Code	Li	2	I											Г																		
Emp. Designation	OF	F	16	CE	R				T	T																						
Emp. Branch	DI		T				T		Ť	T	T	П																				
				1			1									1	1						linst	itution	Starr	p)				ME		
			(Emp	1	(na	3/	/																		H.	1						
A Thomas war				.13	*										13				9	10		1	Yt.	1	13	1 >	4	1.1	15	1.	Y	fanjary.

UAE Exchange & Finance Ltd.
Corporate Identity Number: U85110KA1993PLC013994
Second Floor, Habeeb Tower, M G Road, Kochi - 682 011Tel: 0484 - 2370496, 2370497

CENTRAL KYC REGISTRY KYC Application Form | Individual

	For offic	e use	only				Appl KYC				e*		Nev	v	Ι		Upc	late		Ι				(Ma	nda	tory	for	KYC	Сир	date	rec	ques	t)		
Annexu	ire A1																																		
Correspo	ondence /	Local A	Addr	ess																															
Please re	ead the Insti	uctions	befo	ore fi	lling	the	form	m.																											
□1. C	ORRESPO	NDEN	CE/	LOC	AL	ADI	DRE	SS	DE	TA	ILS																								
☐ Same	as Current /	Perman	ent /	Overs	seas	Add	ress	det	ails																										
Line 1*																																			
Line 2																						T										T			T
Line 3		9							T											Ci	ty/T	ow	n/Vi	llaç	je*				T	T	T	T			T
District*								Pi	n/P	ost	Co	de*] 5	State	e/U	T	Code	*			IS	03	3166	i Ca	unt	try C	ode	*	
☐ 2. CC	ONTACT DE	TAILS (All co	mmur	nicati	ons \	vill be	e ser	nt on	pro	vide	d Mo	bile	no./ l	Eme	iil-ID	(Ple	ase	refe	er ins	truc	tion	Fat	the	end										
Tel.(Off)				Π	Т			Те	I.(R	es)		П	T]-	Г	Π	T	T	T	T	Τ	1	Mobi	ile	Т	T	7-	. [Τ			П	Т	Т	П
FAX][П				Er	nail	ID			İ				Ī	Ī	Ī	Ī		Ī	П		I			工				I	I		П
3. Al	PPLICANT	DECL	ARA1	TION																															
	declare that the d immediately. In ca rit.																										[Si	gnat	ure /	Thun	nb In	npress	sion)		

UAE Exchange & Finance Ltd. Corporate Identity Number: U85110KA1993PLC013994 **CENTRAL KYC REGISTRY** Second Floor, Habeeb Tower, M G Road, Kochi - 682 011 Tel: 0484 - 2370496, 2370497 KYC Application Form | Individual Application Type* ☐ New Update For office use only **KYC Number** (Mandatory for KYC update request) Annexure B1 **Related Person** Please read the Instructions before filling the form. 1. DETAILS OF RELATED PERSON (Please refer instruction G at the end) Addition of Related Person Deletion of Related Person KYC Number of Related Person (if available*) Related Person Type* ☐ Guardian of Minor Assignee Authorized Representative Name* Prefix (Mr./Mrs./Ms.) First Name Middle Name Last Name (If KYC number and name are provided, below details of section 1 are optional) PROOF OF IDENTITY (Pol) OF RELATED PERSON* (Please see instruction (H) at the end) A- Passport Number Passport Expiry Date B- Voter ID Card C- PAN Card ☐ D- Driving Licence Driving Licence Expiry Date DD - MM ☐ E- UID (Aadhaar) F- NREGA Job Card Z- Others (any document notified by the central government) Identification Number ☐ S- Simplified Measures Account - Document Type code Identification Number 2. APPLICANT DECLARATION • I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. Date : Place: Signature / Thumb Impression of Applicant

																									n	

Documents Received	☐ Certified Copies	☐ Original Verified and S	elf-Atteste	d Do	cume	nt Cop	oies Re	eceive	d							
IPV AND	KYC VERIFICATION CARRIE	ED OUT BY					IN	STITU	ION I	DET/	AILS					
Date	DD-MM-YY	YY	Name	П	П	П	П		Т	П	Т	П	П	Т	П	
Emp. Name			Code	П	TT	TI	T		T	П			T	T	П	
Emp. Code																
Emp. Designation																
Emp. Branch																
	[Employee Signature]							[Insl	itubion	Stam	p)					

APPLICABLE FOR 2ND HOLDER

econd Floor, Habeeb																		
For office	use only	Application 1 KYC Numbe Account Ty	r	☐ Nev		Up	date	П		(Ma	andato	ry for	KYC	upda	ite red	quest)		
lease read the Instru	ctions before filling	the form.						tang 12									i am	
1. PERSONAL D	ETAILS (Please of	or instruction A a	t the e	nd)														
Name* (Same as ID	proof)																	
fix (Mr./Mrs./Ms)	First Name		7		Mid	ldle Nar	ne					_	Last	Nam	e			_
								\perp					_					
(Mr.Mrs.Ms) Maiden Na	ame (If any*)		7			Т			ТТ		П		_					Т
				<u> </u>							<u></u>	_						
x (Mr./Mrs./Ms) Father / S	pouse Name*		7							7	-							Т
x (Mr./Mrs./Ms) Mother Na	ime*	1 1 1 1	-						1 1			4	-					_
													_	<u> </u>				
ate of Birth*	D D - M N	1 - Y Y Y	Y													PHC	то	
ender*	☐ M- Male ☐ Married				Female married			-Tran others	sgende	er				1				
arital Status* tizenship*	☐ IN- Indian				ners (18													
sidential Status*	Resident Inc	dividual		□ No	n Resid	lent Inc	lian											
	☐ Foreign Nat				rson of		_											
ccupation Type*	☐ S-Service (☐ O-Others (blic Sed If Emplo		☐ Gov		ent Se		fe 「	Stud	dent)				4.00	
		i i i i i i i i i i i i i i i i i i	41		Linbi	Jycu		ııcu		10011			40111	'				
	B-Business																	
	☐ X- Not Cate	gorised		¬ «														
N Card		gorised																
N Card		gorised	X PU	₽ Ø		URISE	icrio	N(S)	ours	OEM	IDIA.	FCo.	o est		Ouci	on II el	the acc	,
2 Tick mark	X- Not Cate	ENCE FOR TH	X PU	RPOSI	ESIKJ	URISC	ictio	N(S)	ours	DE II	IDIA	Plan	e la		oues	on Big	the ex)
DDITIONAL DETAIL	X- Not Cate	ENCE FOR 1/A	x PU	RPOSI	ESIKJ	URISE	icrio	N(S)	outs	OG in	iOtA				mice	on P.o.	10-0)
DITIONAL DETAIL O 3166 Country Co	X- Not Cate X- Not Cate Republic Repu	indatory only if set f Residence*		RPOSI	ESIKJ	URISE	ictio	N(S)	oute	OE IN	IDIA)	Pilos			arudi	on P. el	the ecc)
DDITIONAL DETAIL O 3166 Country Cou	X- Not Cate X- Not Cate Republic Repu	indatory only if set f Residence*		RPOSI	ESIKJ				outs	OE III		PER	io fue		tuet	or Bai	· Iffid less)
DDITIONAL DETAIL O 3166 Country Cou	X- Not Cate X- Not Cate Republic Repu	indatory only if set f Residence*		RPOSI	d)				OUTS	OE III	(614)	Histor			tives		the ecc	
DDITIONAL DETAIL O 3166 Country Cou x Identification Num ace/City of Birth*	X- Not Cate	indatory only if set f Residence* If issued by jurisc	liction)	RPOSI is ticke is ticke IS	o 3166	Count			OUTS Birth*						tus t	on B.of	U.S. 400	
DDITIONAL DETAIL O 3166 Country Cou IX Identification Num ace/City of Birth*	X- Not Cate	indatory only if set f Residence* If issued by jurisc	liction)	RPOSI is ticke is ticke IS	o 3166	Count	ry Cod	le of E		De in		Histor			on col			
DDITIONAL DETAIL O 3166 Country Coo x Identification Num ace/City of Birth* ertified copy of any one A- Passport Numb	X- Not Cate	indatory only if set f Residence* If issued by jurisc	liction)	RPOSI is ticke is ticke IS	o 3166	Count	ry Cod	le of E	Birth* Expiry	Date		D	D		M	4 Y	Y Y	
DDITIONAL DETAIL O 3166 Country Coo x Identification Num ace/City of Birth* ertified copy of any one A- Passport Numb B- Voter ID Card	X- Not Cate	indatory only if set f Residence* If issued by jurisc	liction)	RPOSI is ticke is ticke IS	o 3166	Count	ry Cod	le of E		De in		D			med M	Y Y	11-6 40x	100
DDITIONAL DETAIL O 3166 Country Cod ax Identification Num lace/City of Birth* Certified copy of any one A- Passport Numb B- Voter ID Card C- PAN Card	X- Not Cate X- No	indatory only if set f Residence* If issued by jurisc	liction)	RPOSI is ticke is ticke IS	o 3166	Count	ry Cod	le of E	Expiry							YYY	YY	
DDITIONAL DETAIL O 3166 Country Coo IX Identification Num ace/City of Birth* ertified copy of any one A- Passport Numb B- Voter ID Card C- PAN Card D- Driving Licence	X- Not Cate X- No	indatory only if set f Residence* If issued by jurisc	liction)	RPOSI is ticke is ticke IS	o 3166	Count	ry Cod	le of E			DIA			M		- Y Y	Y Y Y	
DDITIONAL DETAIL O 3166 Country Coo ax Identification Num ace/City of Birth* ertified copy of any one A- Passport Numb B- Voter ID Card C- PAN Card D- Driving Licence E- UID (Aadhaar)	X- Not Cate X- No	indatory only if set f Residence* If issued by jurisc	liction)	RPOSI is ticke is ticke IS	o 3166	Count	ry Cod	le of E	Expiry		DIA					- Y Y	Y Y Y	
DDITIONAL DETAIL O 3166 Country Cod ax Identification Num ace/City of Birth* Pertified copy of any one A- Passport Numb B- Voter ID Card C- PAN Card D- Driving Licence E- UID (Aadhaar) F- NREGA Job Car	X- Not Cate X- No	Indatory only if set of Residence* If issued by jurisor instruction of of Identity[Pol]	tion C	RPOSI is ticke is ticke IS	o 3166	Count	ry Cod	le of E	Expiry	Expir		• D				- Y Y	Y Y	
DDITIONAL DETAIL O 3166 Country Cod ax Identification Num ace/City of Birth* Pertified copy of any one A- Passport Num B- Voter ID Card C- PAN Card D- Driving Licence E- UID (Aadhaar) F- NREGA Job Ca Z- Others (any doc	X- Not Cate	indatory only if set f Residence* If issued by jurisor of of Identity[Pol]	tion C needs	RPOSI is ticke is ticke IS	d) 	Count	ry Cod	sport lde	Expiry	Expir	umbe) D				- Y Y	Y Y	
DDITIONAL DETAIL O 3166 Country Cod ax Identification Num ace/City of Birth* Pertified copy of any one A- Passport Num B- Voter ID Card C- PAN Card D- Driving Licence E- UID (Aadhaar) F- NREGA Job Ca Z- Others (any doc	X- Not Cate X- No	indatory only if set f Residence* If issued by jurisor of of Identity[Pol]	tion C needs	RPOSI is ticke is ticke IS	d) 	Count	ry Cod	sport lde	Expiry	Expir	umbe) D				- Y Y - Y - Y - Y - Y - Y - Y - Y - Y -	у у	
DDITIONAL DETAIL O 3166 Country Cou ax Identification Num ace/City of Birth* Pertified copy of any one A- Passport Num B- Voter ID Card C- PAN Card D- Driving Licence E- UID (Aadhaar) F- NREGA Job Ca Z- Others (any doc S- Simplified Mea	X- Not Cate	indatory only if set of Residence* If issued by jurisor of of Identity[Pol]	tion C needs	RPOSI 2 is ticke IS at the entropy to be sure.	d) O 3166 obmitted)	Count	Pasa Driv	sport lde	Expiry cence entificate entificate	Expir	umbe) D				- Y Y	Y Y Y	
DDITIONAL DETAIL O 3166 Country Coux Identification Num ace/City of Birth* Pertified copy of any one A- Passport Num B- Voter ID Card C- PAN Card D- Driving Licence E- UID (Aadhaar) F- NREGA Job Ca Z- Others (any doc S- Simplified Mea	X- Not Cate X- Not Cate Regularity Regu	Indatory only if set of Residence* If issued by jurisor of of Identity[Pol]	liction)	IS ticke to be su	d) O 3166 d) bmitted)	instructi	Pasa Driv	sport lde	Expiry cence entificate entificate	Expir	umbe) D				- Y Y	Y Y Y	
DDITIONAL DETAIL O 3166 Country Coo ox Identification Num ace/City of Birth* Entified copy of any one A- Passport Num B- Voter ID Card C- PAN Card D- Driving Licence E- UID (Aadhaar) F- NREGA Job Ca Z- Others (any doc S- Simplified Mea	X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X	f Residence* If issued by jurison If issue	tion C needs including the content of the content	RPOSI 2 is ticke * IS at the si to be su LS (Pleads to be	d) O 3166 nd) ibmitted) ase see submitted	instructied)	Pass Driv	le of E	Expiry cence entificate entificate	Expirition N	umbe			[N]		- Y Y	11.0 4.0 Y Y	
DDITIONAL DETAIL O 3166 Country Coo ox Identification Num ace/City of Birth* Pertified copy of any one A- Passport Num B- Voter ID Card C- PAN Card D- Driving Licence E- UID (Aadhaar) F- NREGA Job Ca Z- Others (any doc S- Simplified Mea	X- Not Cate X- Not Cate	f Residence* If issued by jurison If issue	tion C needs including the content of the content	RPOSI 2 is ticke IS at the entropy to be sure LS (Pleads to be sidential)	d) O 3166 adj ase see submitted	instructied)	Pass Driv	sport lde lde lde lde lde lde lde le the en	Expiry cence entificar entificar	Expirition N	umbe			[N]		- Y Y	Y Y V Y Unspec	
DDITIONAL DETAIL O 3166 Country Coo ox Identification Num ace/City of Birth* Pertified copy of any one A- Passport Num B- Voter ID Card C- PAN Card D- Driving Licence E- UID (Aadhaar) F- NREGA Job Ca Z- Others (any doc S- Simplified Mea	X- Not Cate X- Not Cate	Independent of the property of	tion C needs including the content of the content	RPOSI 2 is ticke IS at the c to be su LS (Pleads to be sidential sidentia	d) O 3166 bmitted) ase see submitted cence	instructied)	Pass Driv on D at	sport ing Li	Expiry cence entificar entificar	Expirition N	umbe umbe Regis	p D	D	- M		- Y Y	Y Y Y Y Jnspec	fied
DDITIONAL DETAIL O 3166 Country Coo ax Identification Num ace/City of Birth* Pertified copy of any one A- Passport Numb B- Voter ID Card C- PAN Card D- Driving Licence E- UID (Aadhaar) F- NREGA Job Ca Z- Others (any doc S- Simplified Mea 4.1 CURRENT / PEI Certified copy of any one ddress Type* coof of Address*	X- Not Cate X- Not Cate	Indatory only if set of Residence* If issued by jurisor of of Identity[Pol] Central government Type EAS ADDRESS In of Address [Polsiness and	tion C needs included the content of the content o	IS ticke IS to be sure to be sur	d) O 3166 ad) assesses submitted	instructied)	Pass Driv	sport ing Li	Expiry cence entificar entificar	Expirition N	umbe umbe Regis		D	- M		- Y Y	y y Y Y Dispec	
DDITIONAL DETAIL O 3166 Country Cod ax Identification Num lace/City of Birth* Certified copy of any one A- Passport Numb B- Voter ID Card C- PAN Card D- Driving Licence E- UID (Aadhaar) F- NREGA Job Ca Z- Others (any doc S- Simplified Mean 4.1 CURRENT / PEI Certified copy of any one ddress Type* roof of Address*	X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X	Indatory only if set of Residence* If issued by jurisor of of Identity[Pol] Central government Type EAS ADDRESS In of Address [Polsiness and	tion C needs included the content of the content o	IS ticke IS to be sure to be sur	d) O 3166 ad) assesses submitted	instructied)	Pass Driv on D at	sport ing Li	Expiry cence entificar entificar	Expirition N	umbe umbe Regis	p D	D	- M		- Y Y	Y Y V Y Unspec	ified
DDITIONAL DETAIL O 3166 Country Coo ax Identification Num ace/City of Birth* Pertified copy of any one A- Passport Numb B- Voter ID Card C- PAN Card D- Driving Licence E- UID (Aadhaar) F- NREGA Job Ca Z- Others (any doc S- Simplified Mea dicess Type* roof of Address* ddress ne 1*	X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X	Indatory only if set of Residence* If issued by jurisor of of Identity[Pol] Central government Type EAS ADDRESS In of Address [Polsiness and	tion C needs included the content of the content o	IS ticke IS to be sure to be sur	d) O 3166 ad) assesses submitted	instructied)	Pass Driv on D at	sport ing Li	Expiry cence entificar entificar	Expirition N	umbe umbe Regis	p D	D	- M		- Y Y	Y Y Y Y Jnspec	ified
B- Voter ID Card C- PAN Card D- Driving Licence E- UID (Aadhaar) F- NREGA Job Ca Z- Others (any doc S- Simplified Mea 4.1 CURRENT / PEl Certified copy of any one ddress Type* roof of Address*	X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X- Not Cate X	Indatory only if set of Residence* If issued by jurisor of of Identity[Pol] Central government Type EAS ADDRESS In of Address [Polsiness and	tion C needs included the content of the content o	RIPOSI 2 is ticke * IS at the at to be sufficient to b	d) O 3166 ad) assesses submitted	instructied)	Pass Driv on D at	le of E sport ling Li lde	Expiry cence entificar entificar	Expire tion N	Regis	p D	D	- M		- Y Y	Jnspec) ified

APPLICABLE FOR PMP HOLDER

APPLICABLE FOR 3 HOLDER

UAE Exchange & Finance Ltd.

Corporate Identity N Second Floor, Habe	eb Tower, M G Road, I																
For offi	ce use only	Application Type KYC Number Account Type		New Normal	Upo	date			(Ma	ındato	ry for i	KYC	upda	te req	uest)		
	structions before filling																
1. PERSONAL	DETAILS (Please ref	er instruction A at ti	he end)														
Name* (Same as				00 50 50								PERMIT					
Prefix (Mr./Mrs./Ms)	First Name		П	M	ddle Nam	e						Last	Name	9	_	T	
refix (Mr./Mrs./Ms) Maiden	Name (If any*)													_			
PETIX (MIT./MITS./MIS) IVIAIGGI	Name (II any)		ПП						7						_	T	
refix (Mr./Mrs./Ms) Father	/ Shouse Name*							-]					_			
SIIX (MILTMISTURE)	Spouse Haine																
Mother	Namo*]								
refix (Mr./Mrs./Ms) Mother	Name																
Date of Birth*									JL								
Sender*	DD M M	3 X X X		F- Female			Tranca						_		PH	ОТО	
Marital Status*	☐ Married		-	r- remai Unmarrie		☐ Ot	Transg thers	enae									
Citizenship*	☐ IN- Indian			Others (I				de)								
Residential Status*	Resident Ind			Non Resi													
	☐ Foreign Nation			Person of		-											
Occupation Type*	☐ S-Service (☐ Private Sector ☐ Professional		Public Se Self Emp		_Gove	ernmen ed	t Sec		a [Stud	lent)				1	
	☐ B-Business	and problem		· · · · · ·	-,	,		J1 1-4-	,		0	101.1.,					
	11 -1 O-1			444									~ I	~~~			00000000000000000000000000000000000000
	☐ X- Not Categ	gorised		4									7				
AN Card	☐ X- Not Cateç	gorised		Ø.													
100-100 200 20	☐ X- Not Categ				IURISDI	CTION	I(S) OL	JTSIE	E IN	DIA (Please	e refe	net	ructio	n B a	t the c	and)
2. TICK IF API		ENCE FOR TAX	PURPO	SES IN .	IURISDI	CTION	I(S) OL	JTSIE	E IN	DIA (I	Please	e refe	rinst	ructio	n B a	t the o	and)
2. TICK IF API	PLICABLE RESID	ENCE FOR TAX	PURPO	SES IN .	IÜRISDI	CTION	I(S) OL	JTSIE	E IN	DIA (Please	e refe	rinsti	ructio	n B a	t the e	and)
2. TICK IF API DDITIONAL DETA SO 3166 Country (PLICABLE RESIDI	ENCE FOR TAX Indatory only if section Residence*	PURPC	SES IN .	JURISDI	CTION	I(S) OL	JTSIE	EIN	DIA (Please	e refei	rinsti	ructio	n B a	t the (end)
2. TICK IF API DDITIONAL DETA SO 3166 Country (ax Identification No	PLICABLE RESIDI	ENCE FOR TAX Indatory only if section Residence*	PURPC	SES IN .					E IN	DIA (I	Please	e refe	rinsti	ructio	n B a	the e	and)
2. TICK IF API DDITIONAL DETA SO 3166 Country (ax Identification No lace/City of Birth*	PLICABLE RESIDI	ENCE FOR TAX Indatory only if section Residence* If issued by jurisdiction	PURPC	DSES IN .					E IN	DIA (Please	e refe	rinsti	ructio	n B a	t the t	end)
2. TICK IF API DDITIONAL DETA SO 3166 Country (ax Identification Not lace/City of Birth*	PLICABLE RESIDING RESIDING REQUIRED* (Mar Code of Jurisdiction of Jumber or equivalent (If Jumbe	ENCE FOR TAX Indatory only if section If Residence* If issued by jurisdiction If issued by jurisdiction If issued by jurisdiction If it is in the contraction of the	PURPC	DSES IN (cked)	Country				E IN	DIA (Please	e refei	rinsti	ructio	n B a	t the i	end)
2. TICK IF API ADDITIONAL DETA SO 3166 Country (fax Identification No Place/City of Birth* 3. PROOF OF Certified copy of any of	PLICABLE RESIDI	ENCE FOR TAX Indatory only if section If Residence* If issued by jurisdiction If issued by jurisdiction If issued by jurisdiction If it is in the contraction of the	PURPC	DSES IN (cked)	Country	y Code	of Birt	:h*		DIA (I					n B a	t the s	end)
2. TICK IF API ADDITIONAL DETA SO 3166 Country (fax Identification No Place/City of Birth* 3. PROOF OF Certified copy of any of A- Passport Nu	PLICABLE RESIDING RESIDING REQUIRED* (Mar Code of Jurisdiction of Jumber or equivalent (If IDENTITY (Pol)* (Pleane of the following Proof Imber	ENCE FOR TAX Indatory only if section If Residence* If issued by jurisdiction If issued by jurisdiction If issued by jurisdiction If it is in the section is	PURPC	DSES IN (cked)	Country	y Code		:h*		DIA (I	Please		rinsti		n B a	t the t	end)
2. TICK IF API ADDITIONAL DETA SO 3166 Country (fax Identification No Place/City of Birth* 3. PROOF OF Certified copy of any A- Passport Nu B- Voter ID Care	PLICABLE RESIDING RESIDING REQUIRED* (Mar Code of Jurisdiction of Jumber or equivalent (If Jumber or equivalent (If Jumber of the following Proof Imber Jumber Residue)	ENCE FOR TAX Indatory only if section If Residence* If issued by jurisdiction If issued by jurisdiction If issued by jurisdiction If it is in the section is	PURPC	DSES IN (cked)	Country	y Code	of Birt	:h*		DIA (n B a	t the s	end)
2. TICK IF API ADDITIONAL DETA SO 3166 Country (Tax Identification No Place/City of Birth* 3. PROOF OF Certified copy of any of A- Passport Nu B- Voter ID Card C- PAN Card	PLICABLE RESIDIALLS REQUIRED* (Mar Code of Jurisdiction of Lumber or equivalent (If Lumber of the following Proof Lumber Code of the following Proof Lumber Residue)	ENCE FOR TAX Indatory only if section If Residence* If issued by jurisdiction If issued by jurisdiction If issued by jurisdiction If it is in the section is	PURPC	DSES IN (cked)	Country	y Code	e of Birt	:h*	Date		D				n B a	t the *	end)
2. TICK IF API ADDITIONAL DETA SO 3166 Country (Fax Identification No Place/City of Birth* 3. PROOF OF Certified copy of any A- Passport Nu B- Voter ID Card C- PAN Card D- Driving Licer	PLICABLE RESIDIALLS REQUIRED* (Mar Code of Jurisdiction of Lumber or equivalent (Harmonia of the following Proof Lumber of the following Proof Lumber	ENCE FOR TAX Indatory only if section If Residence* If issued by jurisdiction If issued by jurisdiction If issued by jurisdiction If it is in the section is	PURPC	DSES IN (cked)	Country	y Code	of Birt	:h*	Date		D			n] [пВа	t the a	and)
ADDITIONAL DETA SO 3166 Country (Fax Identification No Place/City of Birth* 3. PROOF OF Certified copy of any of A- Passport Nu B- Voter ID Card C- PAN Card D- Driving Licer E- UID (Aadhaa	PLICABLE RESIDIALES REQUIRED* (Mar Code of Jurisdiction of Jumber or equivalent (If IDENTITY (Pol)* (Pleane of the following Proof Imber IDENTITY (Pol)* (Pleane of the following Proof IDENTITY (Pol)* (Pleane of the following Pol)*	ENCE FOR TAX Indatory only if section If Residence* If issued by jurisdiction If issued by jurisdiction If issued by jurisdiction If it is in the section is	PURPC	DSES IN (cked)	Country	y Code	e of Birt	:h*	Date		D	D	M A	n] [Y Y Y	Y Y	end)
2. TICK IF API ADDITIONAL DETA SO 3166 Country (Tax Identification No Place/City of Birth* 3. PROOF OF Certified copy of any of A- Passport Nu B- Voter ID Card C- PAN Card D- Driving Licer E- UID (Aadhaa F- NREGA Job	PLICABLE RESIDIALLS REQUIRED* (Mar Code of Jurisdiction of Lumber or equivalent (If the Lumber of the following Proof Imber Code Office Of	ENCE FOR TAX Indatory only if section Frequency Freq	PURPO ion 2 is tion [[ion)* [ion C at the	DSES IN (cked)	Country	y Code	e of Birt	h* piry [Date	Date	D	D	M A	n] [Y Y Y	Y Y	end)
2. TICK IF API ADDITIONAL DETA SO 3166 Country (ax Identification No Place/City of Birth* 3. PROOF OF Certified copy of any of A- Passport Num B- Voter ID Card C- PAN Card D- Driving Licer E- UID (Aadhaa F- NREGA Job Z- Others (any d	PLICABLE RESIDIALLS REQUIRED* (Mar Code of Jurisdiction of Jumber or equivalent (If IDENTITY (Pol)* (Pleane of the following Proof Index IDENTITY (Pol)* (Pleane of the following Proof Index IDENTITY (Pol)* (Pleane of the following Proof IDENTITY (Pol)* (Pleane of the following Pol)* (Pleane of the following Po	ENCE FOR TAX Indatory only if section If Residence* If issued by jurisdiction If of Identity[Pol] need If it is the property of the property o	PURPO on 2 is tion (ion)* [ion C at the	DSES IN (cked)	Country	y Code	port Ex	piry C) ate	Date	D	D	M A	n] [Y Y Y	Y	and)
2. TICK IF API ADDITIONAL DETA SO 3166 Country (ax Identification No Place/City of Birth* 3. PROOF OF Certified copy of any of A- Passport Num B- Voter ID Card C- PAN Card D- Driving Licer E- UID (Aadhaa F- NREGA Job Z- Others (any d	PLICABLE RESIDIALLS REQUIRED* (Mar Code of Jurisdiction of Lumber or equivalent (If the Lumber of the following Proof Imber Code Office Of	ENCE FOR TAX Indatory only if section If Residence* If issued by jurisdiction If of Identity[Pol] need If it is the property of the property o	PURPO on 2 is tion (ion)* [ion C at the	DSES IN (cked)	Country	y Code	e of Birt	piry C) ate	Date	D	D	M A	n] [Y Y Y	Y	end)
2. TICK IF API ADDITIONAL DETA SO 3166 Country (Tax Identification No Place/City of Birth* 3. PROOF OF A- Passport Nu B- Voter ID Care C- PAN Card D- Driving Licer E- UID (Aadhaa F- NREGA Job Z- Others (any d S- Simplified Mo	PLICABLE RESIDIALLS REQUIRED* (Mar Code of Jurisdiction of Jumber or equivalent (If IDENTITY (Pol)* (Pleane of the following Proof Index IDENTITY (Pol)* (Pleane of the following Proof Index IDENTITY (Pol)* (Pleane of the following Proof IDENTITY (Pol)* (Pleane of the following Pol)* (Pleane of the following Po	ENCE FOR TAX Indatory only if section If Residence* If issued by jurisdiction If of Identity[Pol] need If it is the property of the property o	PURPO on 2 is tion (ion)* [ion C at the	DSES IN (cked)	Country	y Code	port Ex	piry C) ate	Date	D	D	M A	n] [Y Y Y	Y	end)
2. TICK IF API DDITIONAL DETA SO 3166 Country (ax Identification No lace/City of Birth* 3. PROOF OF Certified copy of any of A- Passport Nu B- Voter ID Card C- PAN Card D- Driving Licer E- UID (Aadhaa F- NREGA Job Z- Others (any d S- Simplified Mo 4. PROOF OF	PLICABLE RESIDIALLS REQUIRED* (Mar Code of Jurisdiction of Jur	ENCE FOR TAX Indatory only if section If Residence* If issued by jurisdiction If issued by jurisdiction If of Identity[Pol] need Indicate the section of the Identity of Ident	PURPO on 2 is tio ion)* in C at the eds to be de [TAILS (F	DSES IN , cked) ISO 3166 a end) submitted	6 Country	y Code Pass	port Ex	piry C) ate	Date	D	D	M A	n] [Y Y Y	Y	and)
2. TICK IF API ADDITIONAL DETA SO 3166 Country (fax Identification Note of the control of the c	PLICABLE RESIDING ALLS REQUIRED* (Mar Code of Jurisdiction of Lumber or equivalent (If LUMBER OF THE FOLLOWING Proof ALL CARD CORD COMMENT OF THE FOLLOWING PROOF COMMENT OF THE FOLLOW	ENCE FOR TAX Indatory only if section If Residence* If issued by jurisdiction If issued by jurisdiction If of Identity[Pol] need Indicate the section of the Identity of Ident	PURPO on 2 is tio ion)* in C at the eds to be de [TAILS (F	DSES IN , cked) ISO 3166 a end) submitted	6 Country	y Code Pass	port Ex	piry C) ate	Date	D	D	M A	n] [Y Y Y	t the s	and)
2. TICK IF API ADDITIONAL DETA SO 3166 Country (fax Identification No Place/City of Birth* 3. PROOF OF Certified copy of any of A- Passport Nu B- Voter ID Card C- PAN Card D- Driving Licer E- UID (Aadhaa F- NREGA Job Z- Others (any d S- Simplified Mo 4. PROOF OF 4.1 CURRENT / F Certified copy of any of ddress Type*	PLICABLE RESIDIALLS REQUIRED* (Mar Code of Jurisdiction of Jur	ENCE FOR TAX Indatory only if section Residence* If issued by jurisdiction If issued by jurisdi	PURPO on 2 is tio ion)* in C at the eds to be de [TAILS (F	DSES IN . cked) ISO 3166 e end) e submitted Please see be submitt	instruction	y Code Pass	port Ex Identi	piry C	Date xpiry	Date	D	D -	M A	n] [Y Y Y	Y 1	ecified
2. TICK IF API ADDITIONAL DETA SO 3166 Country (fax Identification No Place/City of Birth* 3. PROOF OF Certified copy of any of A- Passport Nu B- Voter ID Card C- PAN Card D- Driving Licer E- UID (Aadhaa F- NREGA Job Z- Others (any d S- Simplified Mo 4. PROOF OF 4.1 CURRENT / F Certified copy of any of ddress Type*	PLICABLE RESIDIALLS REQUIRED* (Mar Code of Jurisdiction of Jumber or equivalent (If Jumber or equivalent (If Jumber of the following Proof March 1997) Card Card Card Card Cocument notified by the coessures Account - Do ADDRESS (PoA)* PERMANENT / OVERSE/Page of the following Proof Passport	ENCE FOR TAX Indatory only if section If Residence* If issued by jurisdiction If issued by jurisdiction If of Identity[Pol] need Indicate the section of the	PURPO on 2 is tio ion)* in C at the eds to be TAILS (F needs to be Residen Driving I	DSES IN (cked) ISO 3166 s end) s submitted Please see be submitt	instruction	Passi Drivin	port Ex Identi Identi he end) ess Aadhaa	piry [Date xpiry	Date mber mber	D	D - Offic	M A	n] [Y Y Y	Y 1	
2. TICK IF API DDITIONAL DETA SO 3166 Country (ax Identification Not Place/City of Birth* 3. PROOF OF Certified copy of any of A- Passport Num B- Voter ID Card C- PAN Card D- Driving Licer E- UID (Aadhaa F- NREGA Job Z- Others (any of S- Simplified Mot 4. PROOF OF 4.1 CURRENT / F Certified copy of any of ddress Type*	PLICABLE RESIDIALLS REQUIRED* (Mar Code of Jurisdiction of Jumber or equivalent (If Jumber or equivalent (If Jumber or equivalent (If Jumber of the following Proof or the following Proof December 1 Jumper 1 Jum	ENCE FOR TAX Indatory only if section Residence* If issued by jurisdiction If issued by jurisdi	PURPO on 2 is tic ion)* in C at the eds to be TAILS (Fineeds to in Residen Driving I NREGA	DSES IN . cked) ISO 3166 e end) submitted Please see be submitt itial Licence Job Car	instruction ed)	y Code Passi	port Ex Identi Identi he end) ess Aadhaa	piry [Date xpiry	Date mber mber	D	D - Offic	M A	n] [Y Y Y	Y 1	
2. TICK IF API ADDITIONAL DETA SO 3166 Country (fax Identification No Place/City of Birth* 3. PROOF OF A- Passport Nu B- Voter ID Card C- PAN Card D- Driving Licer E- UID (Aadhaa F- NREGA Job Z- Others (any d S- Simplified Mo 4. PROOF OF 4.1 CURRENT / F Certified copy of any of ddress Type* roof of Address*	PLICABLE RESIDIALLS REQUIRED* (Mar Code of Jurisdiction of Jumber or equivalent (If Jumber or equivalent (If Jumber of the following Proof March 1997) Card Card Card Card Cocument notified by the coessures Account - Do ADDRESS (PoA)* PERMANENT / OVERSE/Page of the following Proof Passport	ENCE FOR TAX Indatory only if section Residence* If issued by jurisdiction If issued by jurisdi	PURPO on 2 is tic ion)* in C at the eds to be TAILS (Fineeds to in Residen Driving I NREGA	DSES IN . cked) ISO 3166 e end) submitted Please see be submitt itial Licence Job Car	instruction ed)	Passi Drivin	port Ex Identi Identi he end) ess Aadhaa	piry [Date xpiry	Date mber mber	D	D - Offic	M A	n] [Y Y Y	Y 1	
2. TICK IF API ADDITIONAL DETA SO 3166 Country (Tax Identification No Place/City of Birth* 3. PROOF OF Certified copy of any of A- Passport Nu B- Voter ID Card C- PAN Card D- Driving Licer E- UID (Aadhaa F- NREGA Job Z- Others (any d S- Simplified Mo 4. PROOF OF	PLICABLE RESIDIALLS REQUIRED* (Mar Code of Jurisdiction of Jumber or equivalent (If Jumber or equivalent (If Jumber or equivalent (If Jumber of the following Proof or the following Proof December 1 Jumper 1 Jum	ENCE FOR TAX Indatory only if section Residence* If issued by jurisdiction If issued by jurisdi	PURPO on 2 is tic ion)* in C at the eds to be TAILS (Fineeds to in Residen Driving I NREGA	DSES IN . cked) ISO 3166 e end) submitted Please see be submitt itial Licence Job Car	instruction ed)	Passi Drivin	port Ex Identi Identi he end) ess Aadhaa	piry [Date xpiry	Date mber mber	D	D - Offic	M A	n] [Y Y Y	Y 1	
2. TICK IF API ADDITIONAL DETA SO 3166 Country (fax Identification No Place/City of Birth* 3. PROOF OF Certified copy of any of A- Passport Nu B- Voter ID Card C- PAN Card D- Driving Licer E- UID (Aadhaa F- NREGA Job Z- Others (any d S- Simplified Mo 4. PROOF OF 4.1 CURRENT / F Certified copy of any of ddress Type* roof of Address* ddress ne 1*	PLICABLE RESIDIALLS REQUIRED* (Mar Code of Jurisdiction of Jumber or equivalent (If Jumber or equivalent (If Jumber or equivalent (If Jumber of the following Proof or the following Proof December 1 Jumper 1 Jum	ENCE FOR TAX Indatory only if section Residence* If issued by jurisdiction If issued by jurisdi	PURPO on 2 is tic ion)* in C at the eds to be TAILS (Fineeds to in Residen Driving I NREGA	DSES IN . cked) ISO 3166 e end) submitted Please see be submitt itial Licence Job Car	instruction ed)	Passi Drivin	port Ex Identi Identi he end) ess Aadhaa	piry [Date xpiry	Date mber mber	D	D - Offic	M A	n] [Y Y Y	Y 1	
DDITIONAL DETA SO 3166 Country (Tax Identification Not Place/City of Birth* 3. PROOF OF Certified copy of any of A- Passport Nut B- Voter ID Card C- PAN Card D- Driving Licer E- UID (Aadhaa F- NREGA Job Z- Others (any d S- Simplified Mo 4. PROOF OF 4.1 CURRENT / F Certified copy of any of ddress Type* roof of Address*	PLICABLE RESIDIALLS REQUIRED* (Mar Code of Jurisdiction of Jumber or equivalent (If Jumber or equivalent (If Jumber or equivalent (If Jumber of the following Proof or the following Proof December 1 Jumper 1 Jum	ENCE FOR TAX Indatory only if section Residence* If issued by jurisdiction If issued by jurisdi	PURPO on 2 is tic ion)* in C at the eds to be TAILS (Fineeds to in Residen Driving I NREGA	DSES IN . cked) ISO 3166 e end) submitted Please see be submitt itial Licence Job Car	instruction ed)	Passi Drivin	port Ex Identi Identi he end) ess Aadhaa	ppiry E	Date xpiry on Nu	Date mber Regist	D	D - Offic	M A	n] [Y Y Y	Y 1	

4.2 CORRESPO																						110	301	• 4"						
Same as Current	t / Permane	ent / Ov	erseas	Addre	ss de	tails (in cas	e of i	multi	ple c	orre	spon	den	ce /	local	add	ires	ses,	piea	ase r	III A	nne	ure	AT)	<u>'</u>		Т	T		٦
Line 1*	++		+ 1	-		\vdash	+						\dashv	-	+	11/1										\dashv	+	+	+	ᅥ
Line 2					-								_	\dashv												\dashv	\dashv	+	+	ㅓ
Line 3														_	С	ity/	Tow	n/\	/illa	ge*									4	닉
District*					F	Pin/Po	st Co	de*		-					St	tate	U.T	Co	de*			ISC	31	166	Cou	ntry	Cod	e*		
4.3 ADDRESS IN	N THE JUR	ISDIC	TION D	ETAILS	s WH	ERE A	PPLIC	CANT	r IS I	RES	IDE	NT O	UTS	SIDE	IND	IA F	OR	TAX	(PU	RPC	SES	S* (A	pplic	able	if se	ectio	n 2 is	s ticke	ed)	
Same as Curren												ne as																	_	_
Line 1*																											_	_	_	
Line 2																														
Line 3				Д.,											С	ity/	Tow	/n/\	Villa	ge*										
State*					T							ZIF	P/Po	st C	ode*	•	T	T	T	T	T	15	so s	3166	Cou	untry	/ Cod	le*		
☐ 5. CONTACTE	ETAILS (All com	nunical	ions wi	be e	ent on	provide	ed NAC	bile	no. /	Ema	#-ID)	1716	ase	erer	8858	CACOTIC .		a 0	e ent	"					<u> </u>		<u> </u>		
Tel.(Off)					Te	l.(Res]-[1	ا ل	Mob	ile	_	4				1	Ц		+	Ц	_
FAX					Er	nail ID										\perp			Ш		\perp	Ш				Ш	Ш		Ш	
6. DETAILS OF	RELATE	D PER	SON	(In cas	e of te	ddition	al relat	ed pe	rson	s, ple	ase	fill 'A	mex	ure	B1'):	(plea	1961	eler	instr	uctio	n G :	at the	end)						
Addition of Related	d Person	Dele	tion of	Related	Pers	on				KY	C Nu	mber	of R	telate	ed Pe	ersor	ı (if	avail	lable	*)								I		
Related Person Type	e* 🗆 (Guardi	an of N	/linor			☐ As	ssign	ee						horiz	zed	Rep	rese	enta	tive										
Prefix (Mr./Mrs./Ms.)	ПТ		First N	lame	1	П			T			M	iddle	Na	me	Т		-					Т	Las	st Na	ame				
Name*	er and nam	e are p	ovided.	below	details	s of sec	ction 6	are o	ption	al)																				
PROOF OF IDEN											ne er	nd)																		
A- Passport N	umber	П	П	П	П	٦								Pas	spoi	rt E	хріг	y D	ate			0 [)	M	W	Y	Y	YY		
☐ B- Voter ID Ca		H		H		\exists \exists		T	T	7																				
C- PAN Card		H		H		$\pm \pm$				_																				
☐ D- Driving Lice	ence	\vdash			\Box	$\forall \exists$		Т	Т	T	1			Driv	ring	Lice	ence	e Ex	kpir	/ Da	te	D		· M	88	Y	Y	YY	1	
☐ E- UID (Aadha		\vdash				\forall		\exists																		h.,				
☐ F- NREGA Job	Card	Ħ			Ħ	T	T	T	T																					
Z- Others (any	document	notified	by the	e centra	l gov	ernme	nt)	T	İΤ	Ť			T	I	lo	den	tific	atio	n N	umb	er									
S- Simplified N							-			1					lo	den	tific	atio	n N	umb	er		I							
☐7. REMARKS	(Hany)																													
LIJ. KEMAKKO	21.23.77			ТТ				Т	Т	T	T	Т	Τ	Т	Т	Т	Т	T	Т		Т	Т	Т	Т	Т	T				
		+	-	++	+		+	+	+	+	+	+	-	+	+	-	+	+	+	+	+	+	-	\vdash		\vdash	\vdash	\dashv	+	_
			+	11	+			+	+	+	+	+	\vdash	+	+	_	+	\vdash	+	+	+	+	<u> </u>	+	\vdash	\vdash	H	+	+	-
									1				<u></u>			_	_	L									Ш			
8. APPLICAN	IT DECL	IRATI	ON																											
I hereby declare that the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the	ne details furnis	hed abov	re are true	e and con	ect to t	he best	of my k	nowled	dge an	nd bel	ief and	d I un	dertal	ke to i	nform	you c	of any	chan	ges											
therein, immediately. In for it.	n case any of the	ne above	informatio	on is foun	to be	false or u	untrue or	mislea	ading (or mis	repres	senting	, I am	awar	e that	I may	be he		ble											
I hereby consent to rec	ceiving informa	tion from	Central K	YC Regis	try thro	ugh SMS	S/Email o	n the a	above	regist	ered r	number	/emai	il addr	ress.			4	E											
Date : DD -	- M M -	- Y Y	Y	Y	Pla	ice:														***********	S	ignatu	re / T	humb	Impre	ession	n of A	pplicar	nt	000000
9. ATTESTAT	mar i Eco	OFF	ice ii	SE O	ul V																									
9. F.1 1 LOTE()																														
Documents Rece	ived	Certif	ied Co	pies	L	Orig	jinal V	erifie	ed ar	nd S	elf-A	Attest	ted I	Doc	ume	nt C	opie	s R	lece	ived										
IPV	AND KYC \	ERIFIC	ATION	CARRI	ED O	UT BY												IN	ISTI	TUTI	ON E	DETA	ILS							
Date	0 0 -	MM][Y	YY	Y		Comis	HE			N	ame				T	T				J		T							
Emp. Name											C	ode		П	T	Ť	T			Ī	T		Ī	T						J
Emp. Code											Г																			7
Emp. Designation		T	П	T	T	T	П	П	Т	3																				
Emp. Branch			H		$\overline{\Box}$	+		H		\exists																				-
										\dashv										(lactite	ulion i	Stamp								-
		(Emple	yee Sign	nature]																										

APPLICABLE FOR CORPORATE ACCOUNTS

APPLICATION FORM (FOR NON-INDIVIDUALS ONLY)

Not applicable in case your KYC is under Registered Status with KRA UAE Exchange & Finance Ltd. Know Your Client (KYC) Corporate Identity Number: U85110KA1993PLC013994 Second Floor, Habeeb Tower, M G Road, Kochi - 682 011Tel: 0484 - 2370496, 2370497 NEW Change Request (Please tick the appropriate) Application No. **PHOTOGRAPH** A. Identity Details (please see guidelines overleaf) Please fill in ENGLISH and in BLOCK LETTERS with black ink 1. Name of Applicant (Please write complete name as per Certificate of Incorporation / Registration; leaving one box blank between 2 words. Please not abbreviate the Name) Please affix the recent passport size Name photograph of Authorised Signatory and sign across it 2. Date of Incorporation 1/ D Place of Incorporation 3. Registration No. (e.g. CIN) Date of commencement of business 0 M 4. Status Please tick (✓) ☐ Private Ltd. Co. ☐ Public Ltd. Co. ☐ Body Corporate ☐ Partnership ☐ Trust /Charities / NGOs ☐ FI ☐ FII ☐ HUF ☐ AOP ☐ Bank ☐ Government Body ☐ Non-Government Oraganisation ☐ Defence Establishment ☐ Body of Individuals ☐ Society ☐ LLP ☐ Others (please Specify) Please enclose a duly attested copy of your PAN Card 5. Permanent Account Number (PAN (MANDATORY) B. Address Details (Please see guidelines overleaf) 1. Address for Correspondence City / Town / Village Pin Code State Country 2. Contact Details Tel. (Off.) ISD STD Tel. (Res.) ISD STD Mobile Fax STD ISD Email Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (/) against the document attached. □ *Latest Telephone Bill (only Land Line) □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Electricity Bill
 □ *Latest Elec *Latest Bank Account Statement ☐ Registered Lease/Sale Agreement of Office Premises Any other proof of address document (as listed overleaf) *Not more than 3 Months old. Validity/Expiry date of proof of address submitted 4. Registered Address (If different from above) City / Town / Village Pin Code Country 5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (/) against the document attached. Any other proof of address document (as listed overleaf) M *Not more than 3 Months old. Validity/Expiry date of proof of address submitted 6. Name, PAN, DIN/UID, residential address and photographs of Promoters/Partners/karta/Trustees/whole time directors (Please use the annexure to fill in the details) 7. Any other information **DECLARATION** Name and Signature of authorised persons I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it. FOR OFFICE USE ONLY In Person Verification (IPV) Details: Name of the person who has done the IPV: Designation: Name of the Organization: Date of IPV DMM Seal of Stamp Intermediary Signature of the the person who has done the IPV Originals verified) Self certified document copies received. (Attested) True copies of documents received. Date Signature of the Authorised Signatory

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

IMPORTANT POINTS:

- Self attested copy of PAN card is mandatory for all clients.
- Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized
- for attesting the documents, as per the below mentioned list. If any proof of identity or address is in a foreign language, then
- translation into English is required.

 Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted. 4
- If correspondence & permanent address are different, then proofs 5 for both have to be submitted.
- Sole proprietor must make the application in his individual name 6. & capacity.
- For non-residents and foreign nationals, [allowed to trade subject 7. to RBI and FEMA guidelines], copy of passport/PIOCard/OCI Card and overseas address proof is mandatory.
- For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
- In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC [Continuous Discharge Certificate] is to be submitted.
- For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School leaving Certificate/ Mark sheet issued by Higher Secondary Board/Passport of Minor/ Birth Certificate must be provided.
- Politically Exposed Persons [PEP] are defined as individuals who are or have been entrusted with prominent public functions, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

 Proof of Identity[POI]: List of documents admissible as Proof of identity:
- - PAN Card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN[listed in Section D].
 Unique identification Number [UID] [Aadhaar] / Passport / Voter
 - ID card / Driving license.
 - Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/regulatory authorities, Public Sector Undertakings, Schedules Commercials Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI,ICWAI, ICSI, Bar Counsil etc., to their Members; and Credit
- cards/Debit cards issued by banks.

 Proof of Address [POA]: List of documents admissible as proof of Address: [* Documents having an expiry date should be valid on the date of submission.]
 - Passport/Voters Identity Card/Ration Card/Aadhaar Card/ Registered Lease or Sale Agreement of Residence/Driving License/

- Flat Maintenance bill/Insurance Copy.
- Utility bills like Telephone Bill [only land line]. Electricity bill or Gas bill Not more than 3 months old.
- Bank Account Statement/Passbook-Not more than 3 months old.
- Self-declaration by High Court Supreme Court judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-operative Bank/ Multinational Foreign Banks/Gazetted Officer/Notary public/ Elected representatives to the Legislative Assembly/Parliament/
- Document issued by any Govt. or Statutory Authority.
 Identity card/document with address, issued by any of the Identity card/document with address, issued by any of the following Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertaking, Schedules Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians [which are duly notarized and/or apostiled or consularised] that gives the registered address should be taken.
- consularised] that gives the registered address should be taken.
- 8. The proof of address in the name of the spouse may be accepted. Exemptions clarifications to PAN [*Sufficient documentary evidence in support of such claims to be collected.]
 - In case of transactions undertaken on behalf of Central Government and /or State Government and by officials appointed by Courts e.g. Officials liquidator, Court receive etc.
 - 2. Investors residing in the state of Sikkim.
 - UN entities/multilateral agencies exempt from paying taxes/filling tax returns in India.
 - SIP of Mutual Funds up to Rs. 50000/- p.a.
 - In case of Institutional clients, names, FIIs, Mfs, VCFs, FVCIs, Schedules Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institutions as defined under Section 4A of the Companies Act, 1956, Custodians shall verify the PAN Card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.
- List of people authorized to attest the documents:
 - Notary Public, Gazetted Officer, manager of a Schedules Commercial/Co-operative Bank or Multinational Foreign Banks [Name, designation & Seal should be affixed on the copy] In case of NRIs, authorized officials of overseas branches of
 - Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents
- In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	 Copy of the balance sheets for the last 2 financial years (to be submitted every year). Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD(to be submitted every year). Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. Photograph, POI, POA, PAN of individual promoters holding control – either directly or indirectly. Copies of the memorandum and Articles and certificate of incorporation. Copy of the Board Resolution for investment in securities market. Authorized signatories list with specimen signatures.
Partnership firm	 Copy of the balance sheets for the last 2 financial years (to be submitted every year). Certificate of registration (for registered partnership firms only). Copy of partnership deed. Authorized signatories list with specimen signatures. Photograph, POI, POA, PAN of Partners.
Trust	 Copy of the balance sheets for the last 2 financial years (to be submitted every year). Certificate of registration (for registered trust only). Copy of Trust deed. List of trustees certified by managing trustees/CA. Photograph, POI, POA, PAN of Trustees.
HUF	 PAN of HUF. Deed of declaration of HUF/List of coparceners. Bank passbook/Bank statement in the name of HUF. Photograph, POI, POA, PAN of Karta.
Unincorporated Association or a body of Individuals	 Proof of Existence/Constitution document. Resolution of the managing body & power of Attorney granted to transact business on its behalf. Authorized signatories list with specimen signatures.
Banks/Institutional Investors	 Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	 Copy of SEBI Registration certificate. Authorized signatories list with specimen signatures.
Army/Government Bodies	 Self-certification on letter head. Authorized signatories list with specimen signatures.
Registered Society	 Copy of Registration Certificate under Societies Registration Act. List of Managing Committee members. Committee resolution for persons authorized to act as authorized signatories with specimen signatures. True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

Name of Applicant					PAN of the Applicant	olicant	
≅ ö	PAN	Name	DIN (For Directors) / UID (For Others	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.	Whether Politically Exposed	Photograph
						□ PEP □ RPEP □ NO	
						□ PEP □ RPEP □ NO	
						□ PEP □ NO	
	7					□ PEP □ NO	
						□ PEP □ RPEP	

- 76

Details of the Ultimate Beneficial Owner (natureal person) in case of Non-individual account

Client Type: Company / Partnership / Unincorporated Associations / Body of Individuals / Trust

SI. No.	Name	PAN	Related Entity	Relationship with the entity	Address of beneficial owner	Email Address	Contact Nos.
						Figure 1	
	1481						
			ida D				
						I was i	
	178					MOTE TO SERVICE STREET	
						0.65	
						277	
, (o	Вид С	- North				Line Landership	s Lightypideslop
Branch	Seal		Employee Code	Clin In the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control of the Control o	Employee Name:	hace the later	
Date:			Place	5	Signature		

Please use additional copies of this form if required.

TRADING AND DEMAT ACCOUNT RELATED DETAILS

I/We request you to op	en the fo	llowing account(s) in my/ou	r name as per the following details.	
			nly one of the following options)	
Trading .	Account a	and CDSL Demat Account		CDSL Demat Account only
		DP ID:	CDSL: 12051800	7002 Demat Account only
Client ID (To be filled by	/ Participa	nt)		
	Ordinary R		Non-Repatriable Margin Promoter HU	IF Others (sleen at)
Type of Trading Account	Ordinary R		Non-Repatriable Sole Proprietor Partnership	JF Others (please specify) HUF Others (please specify)
A. NAME OF TH	E APPL	LICANT		
Trading Account Holder Name	64			
and the second	S	NIL KUMOR I ole/First Holder Details	Sole/Second Holder Details	0.1.71:111
DP Holder Name		olon not notice Details	Sole/Second Holder Details	Sole/Third Holder Details
Di Tioladi Hallio	Genny			
B. OTHER DETA		L KUMARHEGIDE	Y-1000 (1000 1100 1100 1100 1100 1100 110	
SMS Facility		ility is made mandatory to register Powe	r of Attorney in demat account. Ensure that the mobil	e number is provided in the KYC Application Form.)
		Yes □ No	☐ Yes ☐ No	Yes No
Gross Annual	☐ Belo	w1Lac □1-5Lac Lac □10-25Lac	☐ Below 1 Lac. ☐ 1-5 Lac	☐ Below 1 Lac ☐ 1-5 Lac
Income Details (please specify) Income Range	25-50		□ 5-10 Lac □ 10-25 Lac □ 25-50 Lac □ 50 Lacs to 1 Crore	□ 5-10 Lac □ 10-25 Lac □ 25-50 Lac □ 50 Lacs to 1 Crore
per annum	□1-5 C	Crore ☐ 5-10 Crore	☐ 1-5 Crore ☐ 5-10 Crore	☐ 1-5 Crore ☐ 5-10 Crore
OR	□>10	Crore	□ > 10 Crore	☐ > 10 Crore
Net-worth as on (date)				
If Mobile #/Email id used	Mahila	Self Spouse	Self Spouse	Self Spouse
belongs to a different person, specify the	Mobile	Dependent Child Dependent Parent	Dependent Child	Dependent Child
dependent relationship &			Dependent Parent	Dependent Parent
PAN (Mark "SELF" in case of own Mobile #/Email id)	Email	Self Spouse Dependent Child	Self Spouse	Self Spouse
(TICK correct one)		Dependent Parent	Dependent Child Dependent Parent	Dependent Child Dependent Parent
Please tick,	Politic	ally Exposed Person (PEP)	Politically Exposed Person (PEP)	Politically Exposed Person (PEP)
if applicable	Related	to a Politically Exposed Person (RPEP)	Related to a Politically Exposed Person (RPEP)	Related to a Politically Exposed Person (RPEP)
Name of Employer/ Establishment with	UAE	EXCHANGE AND		
full address	FIL	VANCE LTD		
Designation	M	ANAGIER		
Goods & Service Tax No.				
	(In the ca	se of trading account holder)	Mobile	
C. GUARDIAN DE	TAILS	(In case the Sole Holder)	s a minor - Applicable for Demat A	Email
Name		(in outer the cole floider)	S a minor - Applicable for Demat A	Account only)
Relationship (ifany)			Date of Birth* (of Minor)	
Address (Submit valid		-	Date of Birth (of Millor)	\ \
Proof of Address				
D. STANDING IN	STRUC	TIONS	- Microsophia por linguistici propini di pro	
		dits automatically into my/ou	raccount	☑Yes □No
Account to be operated	through P	ower of Attorney (PoA) (If ye	s, ensure SMS alert facility is opted.)	✓Yes □No
Download of Email ID to	Issuer/R	&T Agent		□Yes □No
I/We authorise you to se	nd all con	nmunications/statements thro	ough the e-mail only*	✓ Yes □ No
Address for communication	on / Corpo	orate Benefits	□ Local/Permanent Address	
(Default option is Local A	Address)		☐ Correspondence Address/Foreig	an Address
*All communication shall be	sent at the	e email ID/correspondence addre		

email ID is provided in KYC Application Form. Please ensure the correctness of e-mail address in the KYC Application Form.

E. BANK DETAILS	TRADING ACCOUNT	DEMAT ACCOUNT
Bank A/c No.	In case of NRIs, give PIS bank account details see 1000 10500 45397	(In case of NRIS NRE/NRO SB A/C NO) 00201050045397
Bank Sub-type	Savings Account Current Account Others	■ Savings Account □ Current Account □ NRE □ NRO
Bank Name (Full name)	HDFC BONK LTD	HOFC BANK LTD
	Beilding Tower Apparagn 1/9 Self-hamber/Mansion	Butting/Ton Repartment/Palace/Chamber/Mansion
Bank Branch Address	Strank ad/IRO Di Denue/Rasta	Street Read / Red 200 venue/Rasta
(Write as per the manner discribed)	ARBYIPUROM	AT ROVIPUROM
mamor doorses,	ERMOKULOM	CITERRIOKULAN
	PIN CODE 682016	PIN CODE 682016
MICR Number	682240002	6 8 2 2 4 0 0 0 2
IFSC Number	HDF C0000020	HDFC000020
In case of NRIs, RBI Reference No.*		
RBI Approval Date		D D M M Y Y Y

F. TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Exchanges			Segments	I
	Cash	(Full stopping required)	Currency Derivative	(Full algorithm required)
NSE	F&O	(Full signature required)	Debt Market	(Full signature required)
	Mutual Fund (MFSS)	(Full signature required)		
	Cash	(Full signification (Full signification)	Currency Derivative	(Full signature required)
BSE	F&O	(Full signature required)	Debt Market	(Full signature required)
	Mutual Fund	(Full signature required)		
MSEI	Cash	(Full signature required)	Currency Derivative	(Full signature required)
WIJEI	F&O	(Full signature required)		

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

G. DOCUMENTAR	Y EVIDENCE OF FI	NANCIAL DETAILS F	OR DERIVATIVE CLIE	
Copy of Tax Retur	n Salary Certific	ate Net Worth Ce	rtificate Bank Stateme for the last 6 m	nt Others, Please Specify
H. DEPOSITORY A	CCOUNT DETAILS (II	a case of other DP Account Holder,	Demat master or recent holding states	ment issued by DP bearing name of the clien
Depository Participant Name	Depository Name (NSDL/CDSL)	Beneficiary Name		Beneficiary ID (BO ID)
I. PAST ACTION				
Details of any action/proceeding against the applicant/constituer	gs initiated/pending/taken by SE nt or its Partners/promoters/whole	BI/Stock exchange/any other author e time directors/authorized persons	ority and conviction by any court in In in charge of dealing in securities durin	dia or abroad ng the last 3 years
J. DEALINGS TH	ROUGH SUB-BRO	KERS AND OTHER	STOCK BROKERS	District Lines of the last
If client is dealing through the sub-broker.	Sub-broker's Name:	The Contract of	entral -	
provide the following	NSE SEBI Registration number	H		
	BSE SEBI Registration number	*		
	Registered office address			
	Phone	Fax	Website	
Whether dealing with any other stock broker/sub-broker	Name of stock broker		Name of Sub- if any	Broker,
(if case dealing with multiple stock brokers/sub-brokers,	Client Code		Exchange	
	Details of disputes/dues p	ending from/to such stock brok	er/sub-broker	
K. ADDITIONAL I	DETAILS			
Whether you wish to receive Note or Physical Contract Note		Electronic Con	tact Note Phy	sical Contact Note
Whether you wish to avail of the wireless technology (please		Yes	□No	
Number of years of Investme				
In case of sole proprietor/pa indicate the name and addr	ess of the firm			
SMS and email alerts to inv exhcanges. (Please tick wh If not ticked, assumed 'Not	ichever is applicable.	S MS	Email Not	Required
Any other information		110////		
I INTRODUCER I	DETAILS (optional	N .		
Name of the Introducer	(Surname)	-	Name)	(Middle Name)
Ctob is of the laboration	Sub-broker_	Remiser	Authorize	1 - Louis Agents and I
Status of the Introducer	Existing Client		please specify	- Duanauto
Addres of the Introducer				
Phone Number				
Signature of the Introducer				

M. RELATIVE OF UA	E EXCHANGE EM	PLOÝEE (For T	rading			
Are you a relative of UAE Exchange employee?		Yes		No		-
If yes, please indicate your with the employee	relationship	Parent] Parent-i	in-law Sibl	ing Spous	e Child
Employee code:						
Employee name :		E				
N. OTHER DETAILS	-				Yes	No
providing any of the	or Foreign Exchange / Mo					
following services Ga	aming / Gambling / Lotter	y Services (eg. Casino	s, betting	syndicates)	Yes	No
M	oney Lending / Pawning				Yes	☐ No
						*
O. NOMINATION DE	TAILS FOR TRAD	ME VOCOUNT				
	/We wish to nominate			e do not wish to	nominate	
Name of the Nominee	NDIRA 5.11	EGIDE		Relationship wi	th the Applicant	SPOUSE_
PAN of Nominee				Date of Birth of	Nominee	
of the Nominee:	ATE NO 22	CHINAR H	DUSE			
	LUNKAL ROA ERNAKULAM -					
If Nominee is a minor	, details of guardian	1:				
Name of guardian						
Address and phone no. of Guardian:				Signature of Gu	nardian	
WITNESSES (Only applic	able in case the accou	nt holder has made	nominati	on)		
		Address			9	Gignature
Name		ridujedo				
					A.	7
BNOOP	GRACE	EVILLA, E	KINO	KULÐM		
					3	<u>}</u> /.
LAKSHMI	PUTHEN	JVEEDU, K	OLO	OR, EKM		У

	P. NOMINATION	N DETAILS FO	DR DEMAT ACCOUNT HO	OLDER (Please read the instr	ruction given below before filling)			
			(FROM 1)					
-	(To be filled in by individual applying singly or jointly) Date D D M M Y Y Y DPID I N Client ID							
U	Date D D	D M M Y	Y Y Y DPID I N	Client ID				
]			As per derails given below] ation [strike off the nomination deta	ails below]				
N	Iomination Details			The oxygen and the second				
l/ u	/We wish to make a nor is in the said beneficiar	ry owner account in	reby nominate the following person the event of my/ our death.	(s) who shall receive all securities	es held in the Depository by me /			
n	lomination can be ma ominees in the accou	unt.	Details of 1st Nominee	Details of 2 nd Nominee	Details of 3 rd Nominee			
1	Name of the nomi	nne(s) (Mr./Ms.)	INDIRO 5.1-16GDE	V SOUND	400N()			
2	Share of each	Equally			· /			
	Nominee	[If not equally, please specify percentage]	Any odd lot after division shall	% I be transferred to the first noming	%			
3	Relationship With Any)		5Pou5E	be transferred to the first norming	ee mentionea in the form.			
4	Address of Nomin	100(0)	HOUSE NO. 28/2543-8		La Chrosidadin Su			
7	Addiess of Hollins	66(3)	GIATE NO. 22 CHINAR HOUSE					
		PIN Code	ERNAKULAM 682020	ell person to the land to the				
5	Mobile/Telephone N	No. of nominee(s)						
6	Email ID of nomine							
7 Nominee Identification details- [Please tick any one of following and provide details of same] Photograph & Signature PAN Aadhaar Saving Bank account no. Proof of Identity ID Demat Account		e of following and ame] nature						
Sr	. Nos. 8-14 should be	filled only if nomi	nee(s) is a minor:	PROPERTY OF THE PERSON NAMED IN COLUMN	The State of Line of L			
8	Date of Birth (in conominee(s))		liod(s) is a limiter.		to miletioner of a			
9	Name of Guardian (in case of minor	n (Mr./Ms.) nominee(s)}			Harled own dark			
10					i si coderenes no: 3 sono esperanti di di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di contra di cont			
11		PIN Code						
12	Mobile/Telephone r Email ID of Guardia				con to Magazino			
13		The Paris and the San Land	and the to tend of the total or out the	Girtolinum Argelia, motor	ad horabasa taeta			
14	Guardian Identificat [Please tick any one provide details of sar	of following and	en tel foregue un owoge in letrospecial de Leighberg et autre d'inaccon en le benefier	alaewarach et groeske	10. Cupy of vey profit waith substanced for			
	Photograph & Sign Aadhaar Saving Proof of Identity ID	Bank account no.	or definition at payers him to	Street the best by company that	Olamato has (DAM E)			

Nam	Signature(s) of holder	
Sole/ First Holder/ Guardian (in case sole holder is minor) (Mr./Ms.)	SUNIL KUMBR HEGIDO	E
Second Holder (Mr./Ms.)		X
Third Holder (Mr./Ms.)		X
	Signature of Witness for Nomination	
Name of the Witness	Address	Signature of witness
		Ang
ANOOP	CAROCE VILLA ERIVAKULAM Date	D D M M Y Y Y

Note:

- The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
- 2. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- 3. The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- 4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- 5. Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
- 6. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- 7. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
- 8. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
- 9. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
- 10. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
- 11. Savings bank account details shall only be considered if the account is maintained with the same participant.
- 12. DP ID and client ID shall be provided where demat details is required to be provided.

Q. DECLARATION

- 1. The rules and regulations of the Depository and Depository Participants/Capital Market pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I / we may be held liable for it. In case of nonresident account, I/we also declare that I / we have complied and will continue to comply with FEMA regulations.
- 2. I/we understand and acknowledge the fact that I/we am/are at liberty to withdraw all or any of the information provided by me/us and the same shall be made by me/us in writing. I/we confirm and accept that such withdrawal shall entitle you to withdraw all or any of the services provided to me/us under the account opening documentation.
- I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- 4. I/We further confirm having read and understood the contents of the "Standard Documents"
 - Rights and Obligations of stock broker, sub-broker and client for trading on Exchanges [including additional rights & obligations in case of internet/wireless technology based trading];
 - b) Rights and obligations of beneficial owner and depository participant as prescribed by SEBI and depositories;
 - c) Uniform Risk Disclosure Documents [For all segments/exchanges];
 - d) Guidance note detailing Do's and Don'ts for trading on stock exchanges;

Note: The above mentioned documents [a, c,d] in the vernacular languages are available in our website.

I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on stock broker's designated website .

- [In the case of clients who have opened only DP accounts] I/We confirm having read and understood the contents of the Standard Documents.
 - Rights and Obligations of beneficial owner and depository participant as prescribed by SEBI and Depositories.
- 6.* I/We also understand the "Additional Rights and Obligations for Broking and Other Products and services" and acknowledge that this is Voluntary [non-mandatory] document for availing value added products and services as provided by UAE Exchange and unconditionally agree to abide by the terms and conditions mentioned therein.
 - * Strikeout if not applicable

I/We would like to receive the copy of the KYC & Standard Documents:-Physical Mode *Electronic Mode

*[If you require the document through electronic mode please ensure that you have registered your email id with us].

Name of	Trading Account Holder	Signature: (Full signatured)			
SUNIL K	CUMBR HEGDE				
Name of Demat Account	Sole/First Holder / Guardian (in case of minor) (Mr. / Mrs.) First Signatory (Authorised Signatories)	Second Holder (Mr. / Mrs.) Second Signatory (Authorised Signatories)	Third Holder (Mr. / Mrs.) Third Signatory (Authorised Signatories)		
Holder(s)	SUNILIKUMARHIGIR				
Signature(s)	(Full signature (muired)	(Full signature required)	(Full signature required)		

Place:

Date:

Acknowledgement	Copy of Annual Accounts	es segments - illustrative list: Copy of ITR		
In case of salary inco Copy of demat accou	me - Salary Slip, Copy of Form 16. nt holding statement.	Net worth certificate. Bank account statement for last 6 months.		
documents. *In respect of othe		assets. Self declaration with relevant supporting gement policy of the stock broker need to be		
 Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Cocor/and IFSC Code of the bank should be submitted. 				
 3. For individuals: a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office the stock broker/sub-broker's office. b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Jud Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permit 				
 4. For receiving Statement of Account in electronic form: a. Client must ensure the confidentiality of the password of the email account. b. Client must promptly inform the Participant if the email address has changed. c. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may a terminate this facility by giving 10 days prior notice. 				
public functions, e.	g., Heads of States or of Governments, enior executives of state owned corpora	who are or have been entrusted with prominent senior politicians, senior Government/judicial/ ations, important political party officials, etc.		
		ONLY		
	FOR OFFICE USE	UNL		
UCC Code allotted to the Clie	nt	Manual and and and and and and and and and and		
	nt ☐ 12051800 ☐ Not Applicable	Physical Mont. A Perestronic World		
UCC Code allotted to the Clie	nt ☐ 12051800 ☐ Not Applicable Documents verified with Originals	Client Interviewed by		
UCC Code allotted to the Clied	nt ☐ 12051800 ☐ Not Applicable	Physical Mont. A Perestronic World		
UCC Code allotted to the Clied DP ID: Name of the Employee Employee Code Designation of the employee	Documents verified with Originals SWAPNA 112	Client Interviewed by		
UCC Code allotted to the Client DP ID : Name of the Employee Employee Code Designation of the employee Date	Documents verified with Originals SWAPNA 112	Client Interviewed by 5 WAPNA		
UCC Code allotted to the Clied DP ID: Name of the Employee Employee Code Designation of the employee Date Signature	Documents verified with Originals SCUAPINA I 12 OFFICER 1711117	Client Interviewed by 5 WAPNA 112 OFFICER 171117		
UCC Code allotted to the Client DP ID: Name of the Employee Employee Code Designation of the employee Date Signature Place	Documents verified with Originals SCUPPNO 112 OFFICER 1711117 ERNOKULOM	Client Interviewed by SWAPNA 112 OFFICER 17/1/1/7 ERNAKULAM		
UCC Code allotted to the Client DP ID: Name of the Employee Employee Code Designation of the employee Date Signature Place I/We undertake that we have man client aware of 'Rights and Obligathat any change in the 'Policy and that any change in the 'Rights and Client aware of the that any change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the '	Documents verified with Originals SCUPPING 112 OFFICER 1711117 de the client aware of 'Policy and Procedures', tariff sheet itions' document (s), RDD and Guidance Note. I/We have I Procedures', tariff sheet and all the non-mandatory document Obligations' and RDD would be made available or	Client Interviewed by SUPPOP IT2 OFFICER IT11117 ERNOKULOM t and all the non-mandatory documents. I/We have also made the egiven/sent him a copy of all the KYC do cuments. I/We undertake uments would be duly intimated to the clients. I/We also undertake in my/our website, if any, for the information of the clients.		
UCC Code allotted to the Clied DP ID: Name of the Employee Employee Code Designation of the employee Date Signature Place I/We undertake that we have man client aware of 'Rights and Obligathat any change in the 'Policy and	Documents verified with Originals SCUPPING 112 OFFICER 1711117 de the client aware of 'Policy and Procedures', tariff sheet itions' document (s), RDD and Guidance Note. I/We have I Procedures', tariff sheet and all the non-mandatory document Obligations' and RDD would be made available or	Client Interviewed by SWAPNA 112 OFFICER 1711117 ERNAKULAM t and all the non-mandatory documents. I/We have also made the egiven/sent him a copy of all the KYC do cuments. I/We undertake uments would be duly intimated to the clients. I/We also undertake		
UCC Code allotted to the Client DP ID: Name of the Employee Employee Code Designation of the employee Date Signature Place I/We undertake that we have man client aware of 'Rights and Obligathat any change in the 'Policy and that any change in the 'Rights and Client aware of the Branch Man Date: DETAILS OF LEAD G	Documents verified with Originals SCUPPING 112 OFFICER 1711117 de the client aware of 'Policy and Procedures', tariff sheet itions' document (s), RDD and Guidance Note. I/We have I Procedures', tariff sheet and all the non-mandatory document Obligations' and RDD would be made available or	Client Interviewed by 5 WAPNA 112 OFFICER 1711117 tand all the non-mandatory documents. I/We have also made the egiven/sent him a copy of all the KYC do cuments. I/We undertake uments would be duly intimated to the clients. I/We also undertake in my/our website, if any, for the information of the clients. Seal/Stamp of Authorized Intermediary (Branch/Sub-broker/Authorised Person)		
UCC Code allotted to the Clied DP ID: Name of the Employee Employee Code Designation of the employee Date Signature Place I/We undertake that we have madelient aware of 'Rights and Obligate that any change in the 'Policy and that any change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Rights and Change in the 'Ri	Documents verified with Originals Documents verified with Originals DEFICER 1711117 DEFICER 1711117 Deficion of Policy and Procedures', tariff sheet attions' document (s), RDD and Guidance Note. I/We have all Procedures', tariff sheet and all the non-mandatory document Obligations' and RDD would be made available or mager	Client Interviewed by 5 WAPNA 112 OFFICER 1711117 tand all the non-mandatory documents. I/We have also made the egiven/sent him a copy of all the KYC do cuments. I/We undertake uments would be duly intimated to the clients. I/We also undertake in my/our website, if any, for the information of the clients. Seal/Stamp of Authorized Intermediary (Branch/Sub-broker/Authorised Person)		
UCC Code allotted to the Client DP ID: Name of the Employee Employee Code Designation of the employee Date Signature Place I/We undertake that we have man client aware of 'Rights and Obligathat any change in the 'Policy and that any change in the 'Rights and Client aware of the Branch Man Date: DETAILS OF LEAD G	Documents verified with Originals Documents verified with Originals DEFICER 1711117 DEFICER 1711117 Deficion of Policy and Procedures', tariff sheet attions' document (s), RDD and Guidance Note. I/We have all Procedures', tariff sheet and all the non-mandatory document Obligations' and RDD would be made available or mager	Client Interviewed by 5 WAPNA 112 OFFICER 1711117 tand all the non-mandatory documents. I/We have also made the egiven/sent him a copy of all the KYC do cuments. I/We undertake uments would be duly intimated to the clients. I/We also undertake in my/our website, if any, for the information of the clients. Seal/Stamp of Authorized Intermediary (Branch/Sub-broker/Authorised Person)		

SCHEDULE OF CHARGES FOR TRADING CLIENTS

Offline				Online (Not applicable for NRI clients)			
Delivery Brokerage Intraday Brokerage Daily Volume				Delivery Brokerage Intraday Brokerage			
0.50%		0-25 Lac	0.05%	0.3% 0.03%			
		25-50 Lac	0.04%		1700		
		50 Lac - 1 Cr	0.035%	216 to marco			
		1 Cr & Above	0.03%				
DERIVATIVE	SEGMEN	Г					TOTAL POLICE
Stock Futures		Index Futures		Futures	Options	(Lot wise)	Currency
0-25 Lac	0.05%	0-10 Lac	0.05%		Intraday	Carry Forward	- 3611 184 185 - 1
25-50 Lac	0.04%	10-50 Lac	0.04%	0.03%	Do 125 por lot	Do 150 por lot	Same as offline
50 Lac to 1 Cr	0.035%	50 Lac to 2 Cr	0.03%		·	·	Later and the second
1 Cr & Above	0.03%	2 Cr to 5 Cr	0.025%	Minimum B	rokerage of C	Inline trades	
		5 Cr and Above	0.02%	Rs 20/- per co	ntract or 1 paise p	er share which	ever is higher. Bot
Options (Lot v	wise)			subject to maximum of Rs 0.25 per share/debenture or 2.5% of the			
Intraday	CarryForw	ard		contract price per share/debenture whichever is higher. In case the contract note is delivered by post then Rs 20/- per contract or 5 paise per share whichever is higher. Both subject to maximum of Rs 0.25 per share/debenture or 2.5% of the contract price per share/			
Rs 150 per lot	Rs 200 per						
Currency	1.0200 po.	101					
Instrument	Symbol	Brokerage per lot	(Rs.)	debenture whichever is higher. Minimum Brokerage of derivative segment both offline			
FUTCUR	EURINR	12.50 (applicable of					
FUTCUR	GBPINR	15.00 (applicable of		and online trades.			
FUTCUR	JPYINR	10.00 (applicable of	n both sides)	Rs 50/- per order for every off line order (executed) using the branch sup in Currency and F&O segment. Both subject to maximum of 2.5% of premium amount or 100 Rs per			using the branch se
FUTCUR &	USDINR	10.00 (applicable of	n both sides)				
OPTCUR							ount or 100 Rs pe
		utures segment (IRF) =	0.02% on the	lot whichever i			
value of the cont					Expiry/Exercise/ A	ssignment of D	erivative contracts
		ffline trades.		Nil			guy Januar
		r every order (executed),					
or 1 paise per share whichever is higher, round off to two decimal places.					- 108 Daise		Mary Cold
NON-DCN/NON-POA Clients: Rs.20 per order for every order					OF CHARGES FO	OR NRI CLIENT	S:
(executed), Rs 30/- per scrip or 5 paise per share whichever is higher, round off to two decimal places.				Separate she	et attached		
			/ LID/D ''	0 100 4			
Punjab/Gujarat/	.30/- Minimum NB/MP/Jammi	applicable to Rajastha u & Kashmir states.	an/ UP/Delhi/				
Both subject to m the contract price	aximum of Rs 0 per share/deb	.25 per share/debentu enture whichever is h	re or 2.5% of nigher.				
LIAFF	0 1111	and the bank of the	0 11 11				

UAE Exchange reserves the right to revise brokerage structure & other statutory charges as applicable under respective laws by display of the same on the trading website/customer care/direct communication. Old rate will be applicable for existing clients submitting this booklet as part of KYC updation.

Statutory Charges (Applicable to Offline and Online Clients as the case may be) Securities Transaction Tax (STT)		*Miscellaneous Charges					
		SMS Alert Service Rs		00/- per month			
		Printed Statement Request Rs 5		50/- per request (through ordinary post)			
		Cheque Bounce/Cancellation Rs 2		250/-			
Delivery in Cash	0.10%	Interest on delayed	,		6 per annum. Subjec		
Intraday in Cash only on selling side	0.025%			ts wh	s who have Demat Account with UAE Exchange		
Futures only on selling side	0.01%	Regular Service Demat	Regular Service Demat Account (RSDA) Basi		Basic Service Demat A	Basic Service Demat Account (BSDA)	
Options only on selling side	0.05%	Electronic Communication	Physical Communicat	on	Electronic Communication	Physical Communication	
Sale of an option, where option is exercised	0.125%		0.02% subject			0.05% subject to a	
Goods & Service Tax (GST)	18%	minimum of Rs.15/-	minimum of Rs	.20/-	minimum of Rs.50/-	minimum of Rs.75/-	
*Exchange Levy	As applicable from	Rs.40/- per transaction.	Rs.40/- per transa	of ction.	and maximum of Rs.75/- per transaction.	Rs.100/- per transaction.	
*All charges are exclusive of taxes,Levies and other statutory charges		*Inter- Settlement Charges (For Clients who do not have Demat Account with UAE Exchange				nt with UAE Exchange)	
		Who avail electronic	c communication		Who avail physical	communication	
		0.02% subject to a rand maximum of Rs	minimum of Rs.1 s.40/- per transac	5/- ction.	0.02% subject to a and maximum of Rs.	minimum of Rs.20/- 40/- per transaction.	



- 25 -

SCHED	JLE OF CHARGES-DEPOSITOR	Y SERVICES	
Description of Charges	Regular Services Demat Account (RSDA)	Basic Services Demat Account (BSDA)	
Account Opening Charge	Nil(Statutory Charges applicable)	Nil(Statutory Charges applicable)	
Dematerialisation Charges	Nil + Courier charges @ Rs.35/- per request	Nil + Courier charges @ Rs.35/- per request	
Rematerialisation Charges	Rs.10 for every hundred securities or part thereof;} or Rs.10 per certificate, whichever is higher}+ courier charges Rs.35 per scrip. This is subject to a maximum of Rs.5 Lakh per request	Rs.10 for every hundred securities or part therecor Rs.10 per certificate, whichever is higher courier charges Rs.35 per scrip. This is subjeto a maximum of Rs.5 Lakh per request	
Custody Charges	Nil	Nil	
Transaction Charges {Late Submission of DIS i.e received on pay	in day, will be charged Rs.25/- per transactio	DERNAMENT SEEDMENT (n	
A – Market Trades a) For transaction through UAE Exchange	0.02 % subject to a minimum of Rs.15/- and maximum of Rs.40/- per transaction, if the delivery is through POA/Speed-e with DCN	0.05% subject to a minimum of Rs.50/- and Rs. 75/- maximum per transaction if the delivery is through POA/Speed-e with DCN	
Debit (Sales)	0.02% subject to a minimum of Rs.20/- and maximum of Rs.40/- per transaction, if the delivery is through DIS or POA without DCN	0.05% subject to a minimum of Rs.75/-and maximum Rs.100/- per transaction for Non DCN clients	
Credit (Purchase)	Nil	Nil	
b) For transaction through other brokers	0.02% subject to a minimum of Rs.50/- and maximum of Rs.100/- per Transaction	0.05% subject to a minimum of Rs.100/- and maximum of Rs.150/- per transaction	
Debit (Sales)Credit	Nil	Nil	
B - Off Market Trades Debit (Sales)	0.02 % subject to a minimum of Rs.20/- and maximum of Rs.40/- per transaction, if the receiving demat accounts is with UAE Exchange.If the receiving demat account is outside UAE Exchange, then 0.02% subject to a minimum of Rs.50/- and maximum of Rs.100/- per transaction	0.05 % subject to a minimum of Rs.50/- and maximum of Rs.75/- per transaction, if the receiving demat accounts is with UAE Exchange. If the receiving demat account is outside UAE Exchange, then 0.05% subject to a minimum of Rs.100/- and maximum of Rs.150/- per transaction	
Credit	Nil	Nil	
Pledge Charges			
Pledge creation	Rs.50/- per transaction	Rs.100/- per transaction	
Pledge closure	Nil	Nil	
Pledge Invocation	Rs.50/- per transaction	Rs.100 per transaction	
Annual Maintenance Charges	ada fasta etempe : setor e sente e e		
i. Resident Accounts	Rs.600/- Rs.450/- (Not applicable in first FY for new clients) Rs.600/- payable equally in 4 Quarterly Installments of Rs.150/- each for first FY	BSDA Type 1: Nil for holding value til Rs.50,000/-	
NRIs Accounts NRI Accounts using electronic communication (DCN)	Rs.2000/- Rs.750/- (Not applicable in first FY/quarter for new clients)	BSDA Type 2: Rs.100/- p.a. for holding value from Rs.50,001 to Rs.2,00,000/-	
Speed-e Advance	Rs.1000/- for clients who trade with other brokers		
Speed-e AMC	Rs.100/-	Holding value above Rs.2,00,000/- the tariff for RSDA will be applicable.	
iv. Corporate Account	Rs.1250/-	Office and note that the bridge	

Additional printout of statements will be charged @Rs.25/- per statement per account **Note** :

- All charges are exclusive of taxes, levies and other statutory charges
- Charges are collected upfront by Cash/local cheque/DD or it will be debited to trading account of Sole/Joint demat account hold er maintained with UAE Exchange.
- ❖ Interest of 24% p.a would be charged on the dues amount from the due date.
- For BSDA account value of holding will be determined on a daily basis account will be levied higher applicable AMC on value of holding exceeding prescribed limit from the next day of exceeding such limit.
- ❖ As and when an account becomes RSDA, automatically RSDA charges will be applied.







POWER OF ATTORNEY FOR BROKING AND OTHER PRODUCTS & SERVICES

THIS POWER OF ATTOR	RNEY MADE AT	THIS	DAY OF	20	_BY:
SUNIL KUMBR	HEGDE ar	n Indian, residing at 1100	15ENO.28/3	2543-1	3
GOTE NO. 22, C		OLUNKOLROOD,CI			
		nless repugnant to the cont , successors and assigns;		of, be deem	ned to
		an Indian, residing a	ulice determination of	a to special	
2)		an Indian, residing at			
3)		an Indian, residing at			
with Demat Accoun	t in the following manner	a) DP ID	client ID		
	clientID			Telegraphic Control	
Holders* " as the carepugnant to the conteand assigns of the Finder provisions of the Confice at : Second I expression shall, unlators, administrators, broker of National Standarket segment with payin account 11000 capital market segment	ase may be (*only when ext or meaning thereof, be orst Part, IN FAVOUR OF Umpanies Act, 1956, (here Floor, Habeeb Tower, Mess repugnant to the cont successors and assigns tock Exchange of India Ltd CM BPID IN502548 & F001100016814, NSCCL (red to as the "Demat Account the Demat a/c is in joint in a deemed to mean and include in JAE Exchange & Finance I inafter referred to as "UAE G Road, Kochi - 682 011 Text or meaning thereof, be only way of amalgamation and (NSE) [SEBI registration in Pool account No. 12051800 (MFSS) 1205180000007038 (47 & Pool account No. 1205 (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (1805) (mes)], which express ts executors, administrate. td., a company incorp Exchange") having if el: 0484 - 2370496, deemed to mean and ind/or merger and regis umber INB 23065583 00007038, IN300011 CM BPID IN502548	sion shall, use ators, successorated und its Administ 2370497, which is eastered as a second as a 10004714, and its BSE Ltd	enless essors er the rative which xecu- stock apital Early in the

WHEREAS:

- UAE Exchange, in its capacity as a stockbroker entered into a Member Client Agreement(s) (the said "Agreement")
 for providing the trading facilities on National Stock Exchange of India Ltd. (NSE) and Bombay Stock Exchange
 Limited (BSE) (collectively with the exchange) and for investment in various investment products in the nature
 of Mutual Funds, Bonds, IPOs, etc.;
- The Client is desirous of availing such trading facilities and products & services provided/ to be provided by UAE Exchange online and or offline /and the MT Facility, subject to the terms and conditions stipulated by UAE Exchange and such other terms as may be amended from time to time on its website or otherwise under the said Agreement;
- 3. The Joint Demat Account Holders, hold jointly with the Holder a demat account with a UAE Exchange & Finance Ltd., a depository participant ("DP")

(Full signature required)

First Holder in Demat A/c

(Full signature required)

Second Holder in Demat A/c

(Full signature required)

Third Holder in Demat A/c

4. As a consideration for providing the trading facility and also for availing the products & services to the Holder to transact under the said Agreement and to facilitate execution of all transactions, online and/or offline, the Holder and/or Joint Demat Account Holders agree to provide the power to UAE Exchange to execute, confirm and complete all transactions of all deals for and on behalf of the said Parties and to give effect to the terms of the said Agreement and/or other deeds/ agreements executed in favour of UAE Exchange and/or its affiliate concerns NOW THEREFORE THIS POWER OF ATTORNEY WITNESSETH THAT: We, the Parties of the First Part, do hereby nominate, appoint and constitute UAE Exchange as our true and lawful attorneys to do, execute and perform or cause to be done, executed and performed, the following acts, deeds and things or any of them for and on my/our behalf namely:

AUTHORIZATION TO UAE Exchange

- 1. To operate demat accounts, with the said DP, in the name(s) of "Holder" and "Joint Demat Account Holders" so far as reasonably practical to facilitate the transactions for purchase and sale of securities on the Exchanges in the name of the Holder and other products & services or combination there of having the Holder as the sole/ #first holder or any other holder, as UAE Exchange may deem fit in its sole discretion with the said DP.(# delete if not applicable)
- 2. To sign and execute necessary documents, forms, agreements, undertakings, confirmations, declaration etc. and to accept terms & conditions, on my/our behalf for the purpose of operating such Demat Account(s).
- 3. To operate the Demat Accounts maintained with UAE Exchange to meet all obligations of the Holder in terms of the said Agreement/s for transactions done/to be done on instructions of the Holder and to give other instructions relating to the Demat Account(s), for matters concerning the transaction in securities. In event the designated Demat Accounts with UAE Exchange for carrying out transactions is closed or transferred, for any reason whatsoever, and the Holder instructs UAE Exchange, in such manner as may be acceptable to UAE Exchange, for substitution of new Demat Accounts in place of the demat Account as designated, all the terms and conditions of these presents shall likewise extend and apply to all such new/substituted Demat Accounts. This Power of Attorney would be deemed to extend to any Demat account opened by us in addition, substitution or replacement of the Demat account that gets linked to the Investment account with UAE Exchange. This Power of Attorney would also be deemed to extend to block/mark lien on securities in the aforesaid Demat Account(s) or any other demat account(s) asmay be opened from time to time in pursuance of these presents and/or to credit the Demat Account(s) with securities due to us.
- 4. We, the joint demat account holders, do hereby understand, agree and acknowledge that, by virtue of such joint holder ship, our names may also be required to be entered in the relevant application/ instruction forms that are being submitted for the purposes stated herein on behalf of the holder. We fully agree to such naming in the forms and further agree to hold UAE Exchange harmless in any related queries by any Authorities or Regulators and also not to raise any claim or dispute against UAE Exchange for such filling up of names.
- 5. To authorize, to instruct the said DP to debit our Demat Account(s), towards securities due to UAE Exchange, and to discharge all obligation in this regard on our behalf to UAE Exchange arising as a result of Agreement/s between UAE Exchange and me/us. I/We hereby agree that the instruction of UAE Exchange to the said DP to transfer the securities out of my/our Demat Account to the Demat Account of UAE Exchange shall be deemed to have been my/our instructions and the said DP have no necessity to enquire about the obligations towards which such transfers are effected by UAE Exchange or any third party service provider.
- 6. To debit trading account/investment account towards monies/ fees/ charges /securities, etc. payable /due to UAE Exchange, in relation to transactions in securities executed through UAE Exchange, while carrying out the transaction for payment or otherwise or for subscribing to any products, services, facilitated by UAE Exchange or any third party service provider, only debiting of investment account will be pursuant to the receipt of instruction from the client.
- 7. To do all things necessary for and incidental to availing /repayment of dues for such transactions in securities executed by the Holder including pledging of scrips, stocks, securities, bonds, debentures, mutual fund units, units of any collective investment scheme or any other security or financial instrument on behalf of me/us through UAE Exchange for margins or other obligations or liabilities or releasing the pledge/lien/block on the securities.
- 8. To apply for, redeem, and to do all things necessary, relevant and ancillary in this regards, for various investment products in the nature of Mutual Fund, Bonds, Insurance Policies, IPO's etc. and to effect necessary payment for the same pursuant to the receipt of instruction from the client.
- 9. We expressly authorise UAE Exchange to deposit/pledge securities in my/our Demat Account as collaterals with the exchange(s), in connection with to trades executed by me/us on the stock exchange through UAE Exchange, if UAE Exchange so desires to avail exposure against the said collaterals.

(Full signature required)
First Holder in Demat A/c

(Full signature required)

Second Holder in Demat A/c

(Full signature required)

Third Holder in Demat A/c

- This PoA is executed on the firm understanding received from UAE Exchange that, UAE Exchange would return the securities or funds that would have been received by UAE Exchange erroneously or without authority from me/us.
- AND GENERALLY to do or cause to be done every other acts, deeds, matter or thing, as UAE Exchange may deem necessary or expedient for the purpose of or in relation to these presents.
 - We hereby declare that all and every receipts made, executed or done for the aforesaid Products and Services by virtue of these presents shall be as good, valid and effectual to all intents and purposes whatsoever as if the same had been made, executed or done by us in our own name(s).
 - We hereby ratify and confirm and agree to ratify and confirm all that UAE Exchange or any of their respective Officers shall do or cause to be done in or concerning the premises by virtue of these presents.
- It is specifically made clear herein that this Power of Attorney shall be cancelled or revoked at any time without any notice from my/our side. However such cancellation or revocation shall not be applicable to those obligations arising out of the trades carried out prior to receiving request for cancellation or revocation of the POA. Such obligations owed by me/us shall be carried out by UAE Exchange at its Liberty, for which I/we shall not raise any objection.
- It is also made clear hereby that, by virtue of me/us executing this Power of Attorny, UAE Exchange may send a consolidated summary of my/our scrip-wise buy and sell positions taken with average rates by way of an SMS/email on a daily basis, in addition to the normal documents being received from UAE Exchange.

Signature of the Trading Account Holder

Name of the Trading Account Holder:

SUNIL KUMOR 1-1EGIDE					
1) Name of Demat Account Holder:	Demat Account No:				
First Holder SUNIL KUMBR 1466	Signature: (Full standard required)				
Second Holder	Signature: (Full signature required)				
Third Holder	Signature: (Full signature required)				
2) Name of Demat Account Holder:	Demat Account No:				
First Holder	Signature: (Full signature required)				
Second Holder	Signature: (Full signature required)				
Third Holder	Signature: (Full signature required)				

	RISATION				
In order to facilitate operations of my/our trading account opened, I /We Finance Ltd. to maintain a running account, instead of settlement-to-sidue to me/us. I hereby authorize UAE Exchange to maintain a running	ettlement clearance of funds / securities account.				
I/We shall prefer settlement of fund/securities once in A calendar quarter	or A calendar month (tick any one)				
Such settlement will be done by UAE Exchange on any day at its discretion in a	calendar month or quarter as the case may be.				
Further I/we authorize UAE Exchange to maintain a sum of Rs.10000 to on other services. In case there is no trading transaction during the mo	wards charges on my Demat account and onth or quarter fund will be fully settled.				
I/We am/are aware that the authorization may be revoked by me/us any time at my/our own discretion without any advance notice. UAE Exchange may release the funds/securities to me/us on my/our on settlement date or or request or onrevocation of authority, if sufficient margins in respect of my/our trading, across the Stock Exchange(s) and across the segment of the Stock Exchange(s) are available with UAE Exchange.					
Name of the Client SUNIL KUMAR. HEGDE					
Trade code					
Branch Name	(Full flure required)				
Place ERNAKULAM (Name & Signature of the Client				
Date					
NRI UNDERTAKING FOR NRE PIS	MANDATORY FOR NRICLIENTS				
To, UAE Exchange & Finance Ltd.					
Administrative Office: Second Floor, Habeeb Tower, M G Road, Kochi -					
Administrative Office: Second Floor, Habeeb Tower, M G Road, Kochi - Sub: Submission of contract note to P Dear Sir,					
Sub: Submission of contract note to P	S Bank				
Sub: Submission of contract note to P	S Bank aged, S/D/W/				
Dear Sir, I, Mr./Ms.	S Bank aged, S/D/W/				
Sub: Submission of contract note to Pi I, Mr./Ms	S Bank aged, S/D/W/ and undertakes as follows: ttd., Administrative Office: Second Floor, ne agreement executed on				
Sub: Submission of contract note to Pi I, Mr./Ms	S Bank aged, S/D/W/ and undertakes as follows: td., Administrative Office: Second Floor, ne agreement executed on To Bank of India (RBI) have promulgated special				
Sub: Submission of contract note to Pi I, Mr./Ms	S Bank aged, S/D/W/ and undertakes as follows: td., Administrative Office: Second Floor, ne agreement executed on				
Sub: Submission of contract note to Pl Dear Sir, I, Mr./Ms	S Bank aged, S/D/W/ and undertakes as follows: td., Administrative Office: Second Floor, ne agreement executed on To Bank of India (RBI) have promulgated special es. I hereby agree and undertake the following:				
Sub: Submission of contract note to Pl Dear Sir, I, Mr./Ms	S Bank aged, S/D/W/ and undertakes as follows: td., Administrative Office: Second Floor, ne agreement executed on To as Bank of India (RBI) have promulgated special es. I hereby agree and undertake the following: In short-sell ing securities and netting of trades, uires that my PIS bank account be fully to my trading account will have clear credit okerage and all applicable statutory charges				
Sub: Submission of contract note to Pl Dear Sir, I, Mr./Ms	S Bank aged, S/D/W/ and undertakes as follows: td., Administrative Office: Second Floor, ne agreement executed on To as Bank of India (RBI) have promulgated special es. I hereby agree and undertake the following: In short-sell ing securities and netting of trades, uires that my PIS bank account be fully to my trading account will have clear credit okerage and all applicable statutory charges				

FOR NRO NON-PIS CLIENTS

NRI UNDERTAKING - INVESTING	UNDER NON - REPATRIATION BASIS				
To, UAE Exchange & Finance Ltd.					
Administrative Office: Second Floor, Habeeb Tower, M G Road, Kochi - 682 011					
Sub: Undertaking as an NRI trading under NRO status					
Dear Sir I,Mr./Ms	agedaged				
S/D/W of	residing at				
here by agree and undertake as follows:					
Habeeb Tower, M G Road, Kochi - 682 011 as an	change & Finance Ltd., Administrative Office: Second Floor, NRI Client under NRO status(Non - Repatriation basis)as per ations executed on to trade on the Stock Exchanges				

- in India.Whereas, the Securities and Exchange Board of India (SEBI) and Reserve Bank of India (RBI) have promulgated special rules and regulations applicable to NRIs who trade on Indian Stock Exchanges.
- 3. I affirm and agree to abide by the SEBI/RBI rules that prohibit short-selling securities and netting of trades.
- 4. I affirm and agree that the Sale / Maturity proceeds of the securities or units acquired shall be credited to my NRO account irrespective of the type of account from which the considerations for acquisition were paid.
- 5. I affirm and agree that the amount invested under this scheme and the capital appreciation thereon shall not be allowed to be repatriated abroad under any circumstances.
- 6. I affirm and agree that UAE Exchange shall calculate and deduct the applicable taxes as per the prevailing tax norms in India and shall pay only the net amount after taxes to my NRO account for which TDS certificate shall be issued.
- 7. I shall intimate UAE Exchange and give a suitable declaration of the cost and date of purchase of the securities held by me.
- Further, I shall intimate UAE Exchange about any change in the status of security holdings on account of
 corporate actions or otherwise (IPO/FPO, Rights, Buy back, ESOPs, off market transfers etc) to facilitate
 proper capital gains computations.
- I shall, on demand, provide the proof of cost and date of acquisition of the shares so declared.UAE Exchange shall have the right to disallow selling of shares for which I have not given complete declaration.
- 10. I understand and agree that UAE Exchange can outsource the tax calculations and other related activities to a third party who may have access to my account/transactions besides levy service charges for providing such services.
- 11. I undertake and agree that I shall be entirely liable for any tax liabilities that arise on account of the transactions executed through UAE Exchange and that I shall cooperate with UAE Exchange in this regard.
- 12. I undertake and agree to pay in full all the dues, losses, liabilities, charges, taxes, fees, interest, penalty, fine, etc. that may arise on account of my trading activities with UAE Exchange.
- 13. I undertake to abide by all the applicable rules, regulations, laws, notifications, circulars under FEMA, RBI, SEBI, Income Tax, government, ministries, etc.for NRO trading in the Stock Exchanges in India as an NRI, existing or as announced from time to time.
- 14. In the event of my committing a breach of any of the above described regulations, UAE Exchange shall beat liberty to deactivate my NRO trading account and I shall not raise any claim or dispute against UAE Exchange, for the said action.
- 15. I undertake and agree that in case I do not opt for receiving contract notes and other communications via email or in case where I have opted for receiving contract notes and other communication by email but UAE Exchange is unable to send the same by email (where the reason is beyond UAE Exchanges control) the additional charges applicable for sending contract notes/other communication in physical form, additional Demat AMC charges as well as any other related charges will be applied to my account.

Place	(Full signature required)
Date	(Name & Signature of the Client/ Authorised Signatory)

DECLARATION NON -REPATRIATION BASIS NRO SHARES

O	Name of Co	NS.	Date of acquisition	shares	Face Value	Price	Total Cost	(IPO,Bonus/Split / Amalgamation/De merger/ Secondary Market)
-								
2								
3								
4								
2								
9								
7								
80								
6		36					076	
10								
7								
12								
13				113				
4								
15								
16								
17		6 10						
18								
19								
20								
21			050					
22							ortu Leny D. IV	
23								
24								
25							100 561 83	

Date:

DECLARATION NON -REPATRIATION BASIS NRO SHARES

26 27 28 29 30 31 32 33 34 35 36 37 38 40 41 42	devices in the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the second of the s
tool	
viensa to kook as beatimous ed yan	
vonsn sa covil as bedimeles ed y an	
dest	
vonso to book as bedimous ed yan	
Acceptable to book as pediments ad yam	
yearsa an book as beatimous so year	
visitisti ka koo i as bedime va ed yam	118 52
risto to conflue bed implue ed y am	
to bow it as beatimous no yam	
con Las bed imalia ed y m	
ss bedimous ed yam	
beatmous agy	Bu
limdus ed yan	
ua ed yum	
d yarr	
73	
2	
44	
45	
46	
The second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second second secon	
48	
49	
20	

Date:

Signature: (Full signature required)

- 33 -

TRADING - CLIENT AUTHORIZATION TO THIRD PARTY

	(Minor cannot be app	pointed)	Non-mandatory
То,			
UAE Exchange & Finance Ltd.	hart Tarra M.O.Dand Kashi (000 044	
Administrative Office: Second Floor, Ha	beed Tower, M.G. Road, Kochi - 6	062 011	
I/We		Indian Resident/N	IRI,aged
Years, S/o/D/o	residing	g at	
being a client of UAE Exchange & Fina	nce Ltd. with Trade Code		do hereby nominate, appoint and
authorize Mr./Mrs./Ms	aged	years S/o / d/o	
residing at			rised Person" whose signature is
offered by UAE Exchange. To present cheques to meet the pay To receive cheques on my beha To subscribe / unsubscribe to the request UAE Exchange to act on the not be held responsible / liable for acti shall indemnify UAE Exchange for a incurred or suffered by UAE Exchange gree and understand that UAE E authenticate the authorized person act agree and confirm that the said authorit (thirty) days to UAE Exchange whice addressed to me. I hereby ratify and confirm and agree Authorised Person under this authorit Person (Self Certified Proof of Identity)	saged in the Agreements executed. nalf in shares, securities, derivative and the shares arising out of the tell with regard to this. Products and/or services introducts instructions of the Authorised Prons / inactions arising out of the share costs, losses, expenses, per enducted does not have any nating under this Authorization. Exchange does not have any nating under this Authorization. Exchange does not have any nating under this Authorization. For ization shall be cancelled or resh notice shall bear an acknowledge to ratify and confirm all acts, y and shall be construed as acts.	ative contract and a ransactions done on multiple duced or offered by Larson. I agree, and a said instructions. Inalties and outgoings means such as biomarked only upon furgement of receipt by deeds or the trades as, deeds or trades definitive contracts.	Exchange & Finance Ltd. and such all other Products and/or services by behalf and in my account. JAE Exchange from time to time. Undertake that UAE Exchange shall be including legal fees and expenses metric passwords, etc. to confirm the inshing an advance written notice or UAE Exchange in writing specifically a done or cause to be done by the one by me. Name of the Authorized
(Signature of authorised person) (Full signature required)			
Address of the authorised person			Photo of the
Landline number of authorised person			Authorized person
Mobile number of authorised person			Signature of the Authorized person
Email ID of authorised person	:		across the photo
PAN of the authorised person			
Aadhar number of authorised person			
Date of birth of the authorised person	: DD MM YYYY		
Any one of the following document Passport Copy Driving License Pan Copy	ts may be submitted as Proof of	Identity:	

Place: ERNOKULOM

Date:

Name: SUNIL KUMAR HEGIDE

Signature of the Client
(Full signature required)

UNDERTAKING FROM CLIENTS ON THE F &O SEGMENT FOR AVAILING EXPOSURE AGAINST HOLDINGS

	Dolh me convices have different features up detailed benev.
I/ M̃	Ir/Ms SUNIL KUMAR HEGDE
age	ed43
1.	Whereas I have registered with UAE Exchange & Finance Ltd. (UAE Exchange), as a client with client code:
2.	Whereas I have opted to avail exposure against holdings (of approved scrips as per UAE Exchange policy) in the F&O segment. I am aware and agree that trading in F&O involves daily settlement of MTM losses and a failure on my part to remit the same may result in the sale of the holdings by UAE Exchange.
3.	I am also aware that the markets can be volatile as a result of which I may be called upon to pay additional margins by UAE Exchange. I understand and affirm that in the event of my failure to meet such enhanced margin requirements, within the time frame stipulated by UAE Exchange, it (UAE Exchange) can close out my positions and still if any outstanding amount are found payable to UAE Exchange the same shall be recovered by selling the said holdings from my DP Account. The timing and choice of shares and its price shall be at the discretion of UAE Exchange.
4.	That in the event of the happening of the above, I shall not raise any claim or dispute against UAE Exchange.
	ce. ERNOKULOM.

Name and Signature of Client

(Full signature required)

DECLARATION OF TYPES OF DEPOSITORY SERVICES

We, at UAE Exchange & Finance Ltd., offer Regular Services Demat Accounts (RSDA) with normal depository services and Basic Services Demat Account (BSDA)* with limited Depository Services.

Both the services have different features as detailed below:

Regular Services Demat Account (RSDA)	Basic Services Demat Account (BSDA)
Lower Transaction Charges	Higher Transaction Charges. (See theattached for Charges)
Statement on demand with no additional charges	Only two Statements are free of cost. Rs25/- per additional physical Statement request
24/7 Customer Care support through our customer care website:www.uaeexchange-etrade.com	Not eligible for Customer Care support
Email alert on transactions carried out in the account	No email alerts on transactions.
Free Monthly Transaction Statements.	Free Quarterly Transaction Statements.
Multiple Accounts facility for same person as first holder across all DPs and Depositories	Only one account across all DPs and Depositories is permitted
Call Centre Support from Monday to Saturday	No Call Centre Support

*BSDA eligibility: Only accounts with a holding value that is less than Rs 2,00,000 are eligible for BSDA and the investor should have only one DP Account across the depositories.

You may opt for our Regular Services Demat Account (RSDA) with the features listed above even if your account is eligible for BSDA account status.

After reading the above, I/we hereby affirm that my/our account be classified as RSDA.

Signature(s):

Sole/Fist Holder

(Full signature required)

Joint Holder-1

(Full signature required)

Joint Holder-2

Date :16/11/17

Place : ERNAKULAM

ADDENDUM FOR OPENING E-INSURANCE ACCOUNT UAE Exchange & Finance Ltd. Approved Person Reg. No: IRDA/IR2/2013/006 **Booklet No.** Second Floor, Habeeb Tower, M G Road, Kochi - 682011 Tel: 0484 - 2370496, 2370497 **Account Opening** (Scan Based) Serial No. I wish to apply for an e-Insurance Account (eIA) Type of account Resident NRI First Name: Middle Name: Last Name: Father's/Spouse Name: Gender Male Female Others Date of Birth PAN & / or UID **ID Proof Submitted** DOB Document Submitted **Proof of Address AUTHORISED REPRESENTATIVE DETAILS** First Name: Middle Name: Last Name: Gender Male Female Others Date of Birth PAN & / or UID Relationship with elA Applicant Address Same as elA Applicant Permanent Correspondance Others Telephone Mobile **Email ID** Do you want to notify Authorised Representative about his / her appointment? Yes No (If none of the option is selected, it will be considered as YES) (An Authorised Representative is a person appointed by e-Insurance Account holder who can access e-Insurance Account in the event of the holder's demise or in his incapacity to access the e-Insurance Account)

DECLARATION

The rules and regulations of Insurance Regulatory and Development Authority of India & Insurance Repository pertaining to an e-Insurance Account which are in force now have been read by me and I have understood the same and I agree to abide by and to be bound by the rules as are in force from time to time for such e-Insurance Account (elA). I hereby declare that the particulars given herein are true, correct and complete to the best of my knowledge and belief, the documents submitted along with this application are genuine and I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any Notifications, Directions issued by any governmental or statutory authority from time to time. I authorise the Insurance Repository to send any policy and account related information through email and SMS on the contact details given by me. In case of any physical policies being issued by the Insurance Company from whom I obtain an e-policy, the address in the e-Insurance Account shall override the address provided for the physical policies. I understand that all the communication relating to any physical/ e-policy will be sent to the address registered with the Insurance Repository. I agree to inform the Repository of any changes in the details mentioned in this form and in case of delay the said repository shall not be liable incase it acts on the said information which has not been updated. Further, in case I update the details with the Insurance Company, I authorise them to submit the same to you for update in the e-Insurance Account and the said update will be applicable to all policies of any insurer that I hold/ will hold in the said account. I authorise the Repository to pass on the information to any Insurance Company that I have approached for availing of insurance cover.

I further agree that any false /misleading information given by me or suppression of any material fact will render my e-Insurance Account liable for termination and further action.

I hereby authorise the Insurance Repository / Insurance Company to disclose, share, remit in any form, mode or manner, all / any of the information provided by me to the respective Insurance Companies and / or to their authorised agents and representatives in which I may transact / have transacted including all changes, updates to such information as and when provided by me.

I hereby agree to provide any additional information / documentation that may be required by the Authorised Parties, in connection with this application. I hereby confirm that this is a unique e-Insurance Account opening application and I have not applied to the same Insurance Repository or any other Insurance Repository for an e-Insurance Account in the past.

I would like to receive my insurance policy and all the information related to the proposed insurance policy through Insurance Repository.

I am aware the details furnished by me,	including KYC documents,	, for the KYC form and Account	opening form will
be used to open the elA. I hereby give r	my consent for the same.		Total Marine

Name of elA Holder

Signature of elA Holder

FOR UAE EXCHANGE & FINANCE LTD. OFFICE USE ONLY

Staff Name :

EIA. No.

Employee Code:

Trade Code:

Signature :

Client ID

Branch Seal

Date

Important Points

- An individual can hold only a single elA
- This form is meant for an individual to open an e-Insurance Account (elA)
- · An elA enables an individual to hold the various types of insurance policies in electronic format in a single account
- · This will eliminate the need of holding the insurance policies in physical form
- This account will also act as a single point of contact for the policy holders to update their demographic details with all the insurance companies from where the policies are acquired

Disclosures

- In line with industry standards & practice and our business requirements, our employees are incentivized, financially or otherwise, for the business generated through various financial products & services offered. However, we do not have an incentive structure that encourages sale of products that are in conflict with your interests. Earnest efforts have been made to introduce the most comprehensive products & services, keeping our client's best interest in mind. This disclosure is being made voluntarily, towards our initiative to eliminate conflict of interest situations.
- 2. We do not offer any products with guaranteed returns.
- 3. UAE Exchange disclose herewith that it currently does not undertake proprietary trading.

	C	CLENT DUE DILIGENCE CHECKLIST
Confirmed that the Client I The identity of the client de	nas b oes r	peen verified against the SEBI debarred list. peen verified against the UN Sanctions list. not match with those in the SEBI debarred list or the UN Sanctions list. s Match (tick whichever is applicable)
Action taken if the name is		
Name of Verifying Employee	:	
Name of Verifying Employee Employee Code	:	
	: :	
Employee Code	:	

- Intentionally left blank -

NACH Important Instructions:

- 1. This mandate is an authorization to debit funds from your designated Bank account. Please ensure that it is signed by the respective account holder(s) of the Bank.
- 2. Signature (s) on the mandate should be as it appears in the Bank records of the Client(s). All holders as appearing in the Bank records would be required to sign the mandate.
- 3. Though it is signed, it's not necessary that mandate gets registered at Banks end as it all depends on the respective Bank's inclusion in NACH list. Also the Bank may reject the mandate based on their validations.
- 4. This mandate can be linked only to the Bank account Registered in your Trading account with us.
- 5. This mandate can take a maximum time of 20 days to register at Bank's end, so any requirement of funds during this period should be taken care of.
- 6. At any time you have the option to cancel this mandate.
- 7. The amount mentioned here is the maximum limit UAE Exchange & Finance Ltd. can request to draw from your Bank account. Please ensure that the funds remain in the Bank account at the time of collection.
- 8. This is for the use of Equity and Mutual Fund SIP.
- 9. Write the maximum amount to be used for all your SIPs.

Debit mandate from NACH / ECS / DIRECT DEBIT

,	
UAE CHANGE UMRN Service is our currency	Date Date
Tick (✓) Sponsor Bank Code	Unity Code
CREATE ☑ I/We hereby authorize ☐ Uae Exchange & Finance Ltd	to debit (tick ✓) SB /CA /CC /SB NRE /SB NRO /Other
MODIFY CANCEL Bank a/c number	
with Bank IFSC	or MICR OF MICR
an amount of Rupees	₹
FREQUENCY Mthly Q Qtly H-Yrly Yrly M As & when presented	DEBIT TYPE ☑ Fixed Amount ☑ Maximum Amount
Reference 1	Phone No.
Reference 2	Phone No.
PERIOD agree for the debit of mandate prcessing charges by the bank whom i am authorizing to	o debit my account as per latest schedule of charges of the bank
From	
то	
Or Until Cancelled 1 2	3

. This is to confirm that the declaration has been carefully read, understood & made by me/ us. Iam authorizing the user entity / corporate to debit my account.

[•] I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate or the bank where I have authorized the debit.

- Keep a regular check of your Trading and Depository account.
- Ensure that transactions in your account are carried out as per your instructions only.
- Settle the funds and securities obligations as per Stock Exchange Rules. Always transfer funds only from your own bank accounts. We do not accept funds other than from your registered Bank accounts with us.
- Maintain secrecy of your password in case of Internet Trading, since you are solely responsible for all transactions effected from its usage. The password should be distinct & periodically changed to ensure security.
- Provide accurate Email id, Client id, Depository ID, Mobile Number and Contact Details to ensure prompt receipt of correspondence such as contract notes, e-mails and other information.
- Monitor your transactions regularly and in case of any irregularities, kindly contact us through letters of e-mail us at: grievances@uaeexhange.co.in Administrative Office address UAE Exchange & Finance Ltd.
 Second Floor, Habeeb Tower, M G Road, Kochi - 682 011Tel: 0484 - 2370496, 2370497
- Familiarize yourself with the Risk Disclosure Document (RDD) before commencement of trade.
- Be vigilant in your transactions.
- Call on the land line numbers of your local branch and give clear and unambiguous instructions while placing orders over the phone. In case you visit the branch office, kindly give your orders in writing.
- Please bear in mind that while it is our endeavor to inform you in case of margin call, it is also your responsibility to maintain the required margins. UAE Exchange reserves the right to square off any outstanding positions in case of shortfall in margin and delay in payments.
- The correspondence address should always be updated.
 Do give instruction to suspend your depository and trading accounts temporarily if you do not want to do trades for any specified period due to not being in station or for any other reason. This will ensure clarity in dealings. Such information can be given by email/letter in the address given herein.

DON'Ts

- Do not reveal your personal details to anyone, especially your Trading password.
- If you are not familiar with computers and e-mails, please do not opt for Digital Contract Notes (DCN) rather opt for physical contract notes.
- Do not believe in baseless and false assurances of guaranteed returns. There is no assurance of guaranteed or fixed periodic returns from Capital Market.
- Do not believe in any kind of promises made about assured returns by our employees or Sub brokers or Authorized Persons or Marketing Associates. They are not authorized or qualified to give such assurances or promises.
- Do not sign blank Delivery Instruction Slips (DIS) issued for Depository transactions and hand over the same to any Depository Participant or broker.
- Do not get misled by guarantees of repayment on your investments through post dated cheques.

UAE Exchange & Finance Ltd.

Administrative office address:

Second Floor, Habeeb Tower, M G Road, Kochi - 682 011, Tel : 0484 - 2370496, 2370497

Email: client.reg@uaeexchange.co.in, Web: www.uaeexchange-etrade.com Please feel free to call us at: 1800 3000 9565 I 1800 3000 1555 (Toll Free)



UAE EXCHANGE & FINANCE LTD.

MEMBER: NATIONAL STOCK EXCHANGE OF INDIA LTD/CENTRAL DEPOSITORY SERVICES (INDIA) LTD. Second Floor, Habeeb Tower, M.G.Road, Cochin, 682011 Phone: 0484-2370496/7, Mail: nse.support@uaeexchange.co.in SEBI Regn. No (CDSL) IN-DP-CDSL-416-2007

OPTION FORM FOR ISSUE OF DIS BOOKLET

									Date				
DP ID	1	2	0	5	1	8	0	0	Client ID				
Name of F	irst /	Sole	Hold	er	5	CIN	IL	KUI	DOR HEG	DE			
Name of S	econo	Hol	der										
Name of T	hird l	Holde	er					1					

I / We hereby state that:

[Select one of the options given below]

OPTION 1:

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I we have issued a Power of Attorney (POA) in favor of UAE Exchange and Finance Ltd for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member.

C. 10. C. 11.

rs faithfully	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			

OR

OPTION 2:

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA / in favour of UAE Exchange and Finance Ltd for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name	SUNIL KUMAR HEGDE		
Signatures	Soc		

Acknowledgement Receipt

Received OPTION FORM FOR ISSUE / NON ISSUE OF DIS BOOKLET from:

DP ID	1	2	0	5	1	8	0	0	Client ID		
Name	of the	Sole	/ Firs	t Hol	lder						
Name	of Sec	ond jo	oint F	lolde	r						
Name	of Thi	rd joi	nt Ho	older							

Depository Participant Seal and Signature